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## Wellformed Systems of Point Incidences for Resolving Collections of Rigid Bodies

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For tractability, many modern geometric constraint solvers recursively decompose an input geometric constraint system into standard collections of smaller, generically rigid subsystems or clusters. These are recursively solved and their solutions or realizations are recombined to give the solution or realization of the input constraint system.

The recombination of a standard collection of solved clusters typically reduces to positioning and orienting the rigid realizations of the clusters with respect to each other, subject to incidence constraints representing primitive, shared objects between the clusters and other external constraints relating objects in different clusters.

Even for generically wellconstrained systems in 3D, and even when the shared objects are restricted to be points, finding a system of incidence constraints that extends to a wellconstrained system for recombining a cluster decomposition is a significant hurdle faced by geometric constraint solvers. In general, we would like a wellformed system of incidences that generically preserves the classification of the original, undecomposed system as a well, under or overconstrained system.

Here we motivate, formally state and give an efficient, greedy algorithm to find such a wellformed system for a general constraint system, when the shared objects in the cluster decomposition are restricted to be points. Our solution relies on isolating an interesting new matroid structure underlying collections of rigid clusters with shared point objects.

*Keywords:* Decomposition and Recombination of Geometric Constraint Systems; Variational Geometric Constraint Solving; Constraint graphs; Generic and Combinatorial Rigidity; Matroids.

### 1. Introduction and Motivation

Geometric constraint systems are used as succinct, conceptual, editable representations of geometric composites in many applications including mechanical computer aided design, robotics, molecular modeling and teaching geometry. For recent reviews of the extensive literature on geometric constraint solving and basic definitions, see expository papers in this volume and e.g., <sup>2,11,10,15</sup>.

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For tractability of solving, many modern geometric constraint solvers use a combinatorial structure called a *decomposition - recombination (DR) plan*<sup>2</sup> to recursively decompose an input geometric constraint system into collections of smaller, *generically rigid* subsystems or *clusters*. These are recursively solved and their solutions or realizations are recombined to give the solution or realization of the input constraint system. The *standard* cluster decompositions (discussed in Section 2) have many desirable properties that facilitate not only solving efficiency, but also detecting rigidity, incorporating feature hierarchies, dealing with under and over-constraints, solution navigation,<sup>15</sup>

The recombination of such a standard collection of solved clusters typically reduces to positioning and orienting the rigid bodies - i.e., the realizations of the clusters - with respect to each other, subject to primarily incidence constraints representing primitive, shared objects between the clusters and possibly other *external* constraints relating objects in different clusters.

Consider a natural, wellconstrained 3D constraint system  $C$  in Figure 1, decomposed into 3 clusters  $C_i$  each pair of which share 2 points with a distance constraint between them. I.e., the distance constraint is present in both the clusters in the pair.

Let the clusters  $C_i$  be identified with rigid bodies that are the solutions of these clusters - these typically would have been obtained by recursive decomposition and recombination. Recombining the solved clusters  $C_i$  at the final level to obtain a solution or realization  $C$  involves computing the 6 rotation and translation parameters of  $C_2, C_3$ , in the coordinate system of  $C_1$  (chosen as the global coordinate system for the solution to the combined cluster  $C$ ), subject to the incidence constraints representing the shared points  $v_i$ .

*First*, consider a brute force choice of a complete set of incidences: 9 incidence constraints (3 between each pair of clusters) at point  $v_4$  and 9 more incidence constraints (3 between each pair of clusters) at points  $v_1, v_2$  and  $v_3$ , for a total of 18 incidence constraints while there are only 12 independent rotational and translation parameters for positioning and orienting the solved clusters  $C_2$  and  $C_3$  in the solved cluster  $C_1$ 's coordinate system.

**Remark 1.** There are more efficient methods, for instance, using quaternions<sup>4,5</sup>, of parametrizing and resolving the incidences, provided they are guaranteed to be a maximal, independent system of incidences. However, for clarity of exposition, we used the most straightforward representation of the incidence system in the above example, since our emphasis here is the *choice* of an independent system of incidences, rather than further optimization of its algebraic complexity.

During solving of the system of incidences by an algebraic or numeric solver, the extra 6 dependent incidence constraints often cause a problem, although they are entirely due to objects and distance constraints between these objects that are shared by the clusters, i.e., these 6 dependent incidences are *a priori* known to be overconstraints that *should be* consistent with the other 12. However, shared objects

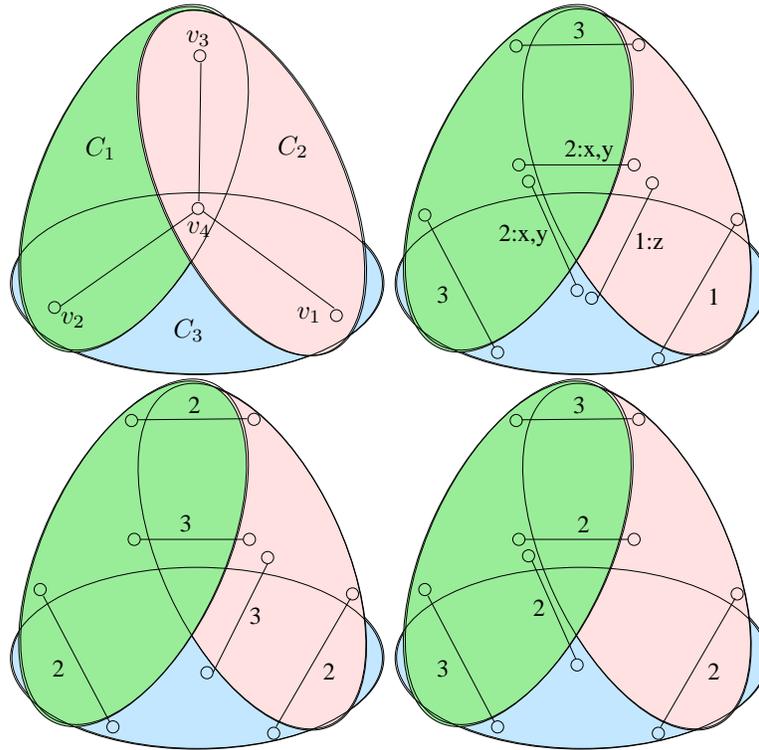


Fig. 1. Choosing wellconstrained sets of incidences is nontrivial: see text

and constraints are replicated and treated separately in the participating clusters  $C_i$  when they are independently solved, typically by finite precision computations. As a result, the 6 dependent incidence constraints typically turn out to be inconsistent with the other 12. For example, the actual distance between the solved points  $v_1$  and  $v_4$  in  $C_2$  may not exactly equal the distance between the copies of the same points in  $C_3$ .

**Remark 2.** Some algebraic and numeric solvers incorporate methods for dealing with such overconstrained systems. For example, numeric solvers based on gradient descent may circumvent this problem since each iteration solves a linear system and overdetermined systems are simply solved by finding the best least squares fit. However, such numeric solvers do not usually return all solutions. A more serious drawback that is common to this type of approach and other general approaches that adjust for finite precision inaccuracies by using tolerance intervals, or algebraic approaches that deal with overdetermined systems using rational univariate representations etc. is the following: they do not *discriminate* between the above type of *introduced-incidence* overconstraints that are caused entirely by treating shared objects as incidences (and are hence a priori known to be consistent), and other

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*inherent* overconstraints that were present in the original system  $C$  that relates the clusters  $C_i$  (such as the example in Figure 6), which could moreover include other external constraints relating objects in different  $C_i$  (not present in either of these examples). Such a discrimination is desirable for most applications which require careful detection of inherent, implicit overconstraints. Some classical algebraic methods could potentially be used to isolate specifically these global introduced-incidence dependences, however, we contend that (a) it would be necessary for any such method to solve the essentially combinatorial problem which we pose and solve in this manuscript, and furthermore (b) such a combinatorial solution is sufficient in generic cases.

We would like to explicitly avoid these introduced-incidence overconstraints or dependences, while retaining any inherent overconstraints. Towards this end, we could first attempt to detect all local dependences. As a *second* attempt at the above example, notice that only 6 incidence constraints at point  $v_4$  are independent (for example, 3 of them between  $C_1$  and  $C_2$  and 3 of them between  $C_2$  and  $C_3$ ; the other 3 each complete a cycle of incidences and are hence give a locally detectable dependence). Discarding these 3 reduces the number of incidences to 15, but they still clearly form a dependent system.

As a *third* attempt, notice that the shared distance constraint between each pair of clusters permits a total of only 5 independent incidence constraints between each pair of clusters. To account for this type of local dependence, choose 3 incidence constraints each at points  $v_1, v_2, v_3$ , totaling 9, and only 4 independent incidences at point  $v_4$  (for example, 2 incidences say for the  $x$  and  $y$  coordinates between  $C_1$  and  $C_2$  and 2 between  $C_2$  and  $C_3$ ). This reduces the number of incidences to 13, but they are still clearly dependent.

As a *fourth* attempt, avoiding both the above types of local dependences and taking care to choose *only 12 incidences* still does not guarantee an independent system. For example, as in the top right in Figure 1, choosing 3 incidence constraints each at points  $v_2$  and  $v_3$ , 1 incidence at  $v_3$ , 2 incidences for the  $x$  and  $y$  coordinates between  $C_1$  and  $C_2$  at  $v_4$ , 2 incidences for the  $x$  and  $y$  coordinates between  $C_3$  and  $C_1$  at  $v_4$  and 1 locally independent incidence for the  $z$  coordinate between  $C_2$  and  $C_3$  gives a total of 12 incidences - but we show later that the last incidence above is dependent on the 10 incidences between  $C_1$  and  $C_2$  (at  $v_3$  and  $v_4$ ) and between  $C_1$  and  $C_3$  (at  $v_2$  and  $v_4$ ).

Thus, to obtain an independent set of incidences, global dependences - caused by *shared* constraints between the clusters - have to be detected. Independent (and maximal) choices of incidence systems for the example in Figure 1 are the following. One possibility shown on the bottom right of Figure 1 is to choose 2 incidence constraints at each of the points  $v_1, v_2, v_3$ , and 6 independent incidences at point  $v_4$  (for example, 3 of them between  $C_1$  and  $C_2$  and 3 of them between  $C_2$  and  $C_3$ ). Another possibility is shown on the bottom left of Figure 1 and is a modification of the top right picture: choose 3 incidence constraints each, at points  $v_1$  and  $v_2$ , 2

incidence constraints at  $v_3$ , 2 incidences between  $C_1$  and  $C_2$  at  $v_4$  and 2 incidences between  $C_2$  and  $C_3$  at  $v_4$ .

The above example was chosen as a small, manageable example for illustrating the problem solved in this manuscript. However, some aspects of this example could cause some confusions which the following remarks should clarify.

**Remark 3.** In the Figure 1 example, many decomposition-recombination based geometric constraint solvers, including our FRONTIER solver<sup>6,14</sup>, would not solve  $C$  by recombining the shown  $C_i$ ; as a result the above problem of detecting introduced-incidence (global) dependences would simply not arise, as we clarify below.

On the surface, the above example exposition would remain unaffected if 3 explicit distance constraints were to be added to  $C$ , pairwise between  $v_1, v_2$  and  $v_3$ , to create a new system  $C'$ , where the  $C_i$ 's would be overconstrained. However, as a result of these 3 additional distance constraints, none of the  $C_i$  is a *maximal* proper subcluster of  $C$ . In particular, there is a 4th (tetrahedral) cluster  $C_4$  consisting of the 4 points  $v_i$ . The cluster  $C_4$  would share 3 points with  $C_1$  and can hence be combined in 3D into a cluster  $C_{14}$ , which for the same reason can be combined with  $C_2$  into a cluster  $C_{124}$  (which is in fact a maximal proper subcluster of  $C'$ ), which can finally be combined with  $C_3$  to give the cluster  $C'$ . DR-planners such as FRONTIER's find such so-called *complete, maximal decompositions*<sup>12,7</sup> for recombining each of the clusters  $C_{14}$  and  $C_{124}$ , which appear in the DR-plan for  $C$ . With the new DR-plan for  $C'$ , the problem explained in the above example does not arise because recombining 2 clusters that share 3 (or more) points into a single cluster is a simple process that only requires solving a linear system to find the coordinates of the second cluster's objects in the first cluster's coordinate system. This further preserves any inherent overconstraints (not present in this example), for instance, when some 2 of the shared points do not have an explicit shared constraint between them.

Now we observe that even if the example  $C$  in Figure 1 *remains unaltered* with no extra distance constraints, the cluster  $C_4$  would still be found by DR-planners such as FRONTIER's 2003 version<sup>14</sup>. This version detects all known types of hidden or implicit dependencies<sup>7</sup>, and uses a so-called complete, maximal, *module* decomposition of clusters. Such a DR-plan will effectively *introduce* the 3 distance constraints pairwise between  $v_1, v_2$  and  $v_3$ , which are implied by the rigidity of the clusters  $C_i$ , although these constraints were not present in the input constraint system  $C$ . Hence the module decomposition will include the tetrahedral cluster  $C_4$ , and successively the clusters  $C_{14}$  and  $C_{124}$ , exactly as in the previous paragraph.

This discussion raises the question whether the problem explained earlier, of detecting introduced-incidence dependences, ever arises during cluster recombination. Figure 2 shows a natural example of a cluster and its decomposition, where the problem of detecting introduced-incidence dependences during recombination is present even when complete, maximal, module decompositions are employed. Hence the problem presented in this manuscript will have to be faced by *any* decomposition-recombination based geometric constraint solver, including FRONTIER.

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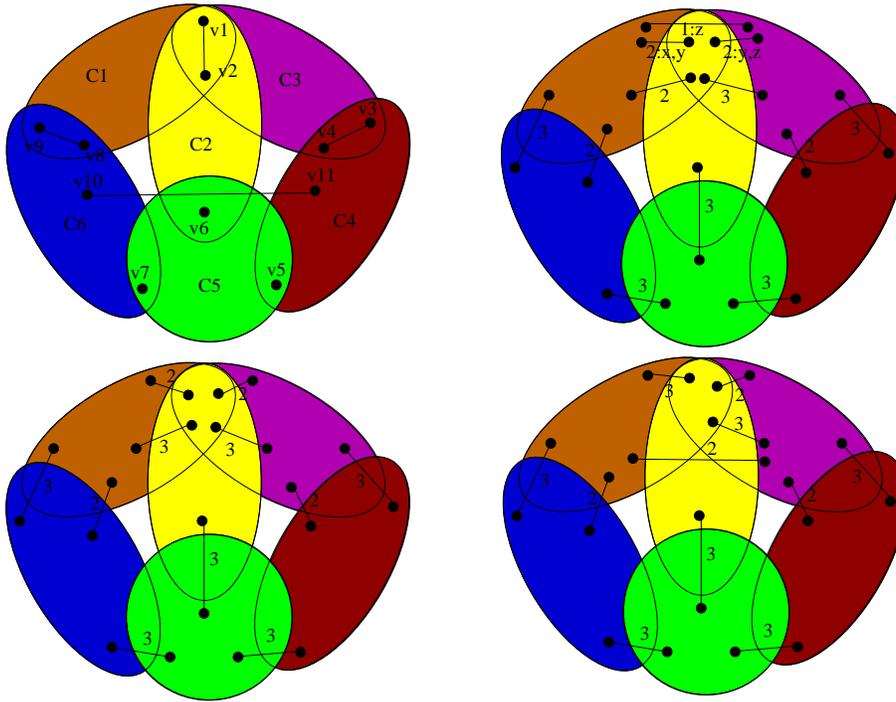


Fig. 2. An example where the problem of choosing wellformed systems of incidences persists even after non-explicit constraints - that are implied by clusters - are added. Top right shows a bad choice of incidences; the bottom two are wellformed choices.

### Problem Statement and Contribution

Our input is general 2D or 3D constraint systems  $C$ , with the usual variety of objects and constraints given earlier, along with a *standard cluster decomposition*  $D$  into maximal subclusters (defined formally in Section 2), with the restriction that the clusters share only share point objects.

The problem is to replace the shared points by a set of incidences between the clusters in  $D$  such that the resulting system for recombining  $C$  (which further includes other external constraints between the clusters in  $D$ ) satisfies 3 requirements. The *first requirement* is that this resulting system does not contain *new* introduced-incidence dependences that were not originally present in the undecomposed system  $C$ . While the above examples concern wellconstrained systems  $C$ , the problem of choosing a *wellformed* set of incidence constraints (defined formally in Section 2) applies to under and overconstrained systems  $C$  as well. Specifically, in the case of a wellconstrained systems  $C$ , there should be no dependences in the chosen system of incidences.

Note that *any* system of incidences underlying a cluster decomposition of  $C$

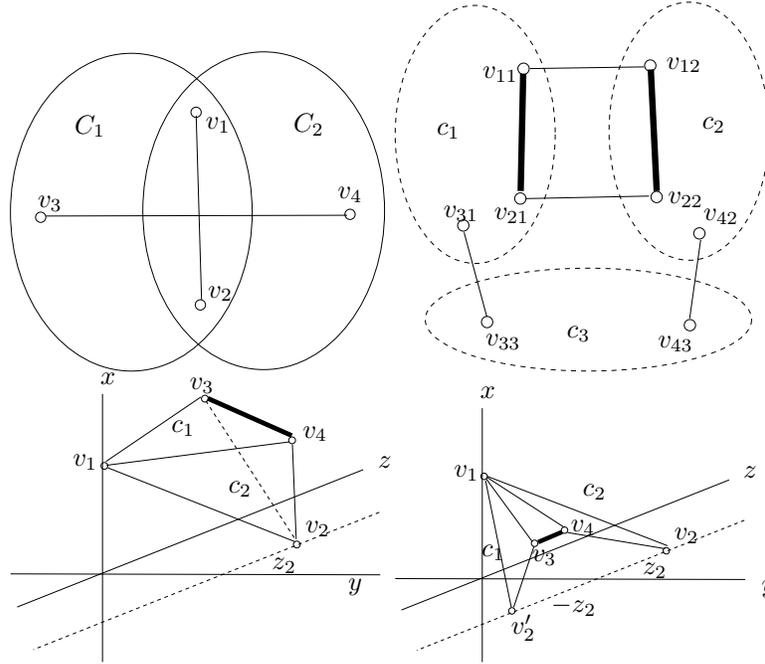


Fig. 3. Another decomposition example (top left), seam graph (top right) and extraneous solution to chosen system of incidences: see text

does not introduce any new inconsistencies regardless of whether it is wellformed or not, since it simply replicates and then equates shared objects between the clusters. Hence the solution set of the resulting recombination system contains the solution set of the original system  $C$ . In particular, the complete set of incidences (assuming infinite precision computation is used to compute the cluster realizations) gives exactly the solution set of the original system  $C$ .

On the other hand, *extraneous* solutions are entirely acceptable (and unavoidable) for a wellformed (usually incomplete) system of incidences recombining  $C$  from a cluster decomposition, i.e., solutions that are not solutions of the original system  $C$ . See the simple example Figure 3, where a 3D constraint system  $C$  is decomposed into 2 clusters sharing 2 points and a distance constraint, with an additional distance constraint  $d$  between unshared points  $v_3$  and  $v_4$  in the two clusters. Now  $C$  can be recombined from  $C_1$  and  $C_2$  using a wellformed system consisting of the distance constraint  $d$  and 5 incidence constraints, i.e., 3 incidences at point  $v_1$  and 2 for the  $x$  and  $y$  coordinates at point  $v_2$ . However, we get one extraneous solution assigning two different  $z$  values for the copies of the same point  $v_2$  in  $C_1$  and  $C_2$  respectively. In Figure 3, the extraneous point is denoted  $v'_2$ . It is entirely unavoidable that even for wellconstrained systems  $C$ , one of these extraneous solutions turns out

to be atypical, i.e., a non-zero-dimensional or flexible solution, for no matter which independent system of incidences is used for recombining a wellconstrained system  $C$ . However, whenever an appropriate, natural notion of genericity can be defined for the class of constraint systems from which  $C$  is drawn, such atypical extraneous solutions should be generically avoidable provided adequately many incidences are chosen.

Thus a competing *second requirement* of our problem is that adequately many incidences should be chosen so that they generically preserve the classification of a generic  $C$  as overconstrained, wellconstrained or underconstrained. More precisely, given a decomposition  $D$  for a constraint system  $C$  that is generically wellconstrained (resp. underconstrained or overconstrained), a system  $\mathcal{I}(D)$  of adequately many independent incidences should be chosen so that such that the following holds. Let  $\mathcal{I}_C(D)$  be any resulting system for recombining  $C$  (i.e., including additional external constraints between child clusters of  $C$ ). Except for a measure zero subset of the generic neighborhood of  $C$ , for any other constraint system  $C'$  in the neighborhood of  $C$ ,  $\mathcal{I}_{C'}(D)$  has the same generic classification as  $C$ . Here the system of incidences should depend only on the decomposition  $D$  of the cluster  $C$ , and not on the further structure or parameters of other constraints in  $C$ , for example the other external constraints between child clusters.

Together, the two requirements assert a *minimal* system of incidences (based only on the decomposition of  $C$ ) that generically preserves the classification of any generic  $C$ .

An alternative informal statement of the problem is the following. We view incidence constraints as zero-distance constraints. We then require an efficient algorithm for choosing a *maximal* set of incidences (again, based only on the decomposition of  $C$ ) such that the following holds. Take a generic perturbation of the chosen system of incidences (into near-incidences using infinitesimal non-zero distances) while keeping all other external constraints between the child clusters and internal constraints within the child clusters exactly the same. The resulting system for recombination should generically preserve the well, under or overconstrainedness of any generic  $C$  (as formally described in the previous paragraph). It is easy to see that this requirement would not be met by any dependent system of incidence constraints e.g., in the first 4 cases of the example of Figure 1 - in general, there would be no solution, even if  $C$  were wellconstrained. In fact, unlike the exact systems of incidences in the previous paragraph, the solution set given by the perturbed system of incidences is in general not a superset of the undecomposed  $C$ . All we require is that the classification of  $C$  be generically preserved.

Finally, as a *third requirement*, we would like an efficient algorithm for choosing such a system of incidences, given as input a standard cluster decomposition. Preferably, the algorithm should be greedy and would avoid combinatorial explosion of choices as well as wrong choices and backtracking. For example, Figure 6 shows a decomposition of an overconstrained system and Figure 9 is a wellformed set of

incidences for it that is found by the algorithm presented in Section 3. It preserves the dependences of the original overconstrained system, but does not create any new dependences.

### *Organization*

Section 2 builds up the formal machinery and combinatorial properties of wellformed systems of incidences. Section 3 (Corollary 2) gives a solution to the problem stated here by taking advantage of an interesting new underlying matroid structure. Section 4 offers conclusions and suggestions for further investigation.

## **2. Standard Decompositions, Wellformed sets of Incidences and their properties**

Recall that the problem is to give an efficient, combinatorial algorithm that takes a standard cluster decomposition as input and outputs a wellformed system of incidences. We carefully formalize these notions and their properties which form the basis for the algorithm presented in Section 3.

### *Standard Decomposition*

The first notion we formalize is a *standard decomposition* of a constraint system into rigid clusters. This will be the input to the algorithm for choosing a wellformed set of incidences.

Let  $C$  be a geometric constraint system with the usual variety of objects and constraints given in Section 1, and  $D$  a collection of rigid clusters or subsystems  $C_1, C_2, \dots$  of  $C$ .

Let  $V_D$  and  $E_D$  denote the set of objects and constraints of  $C$  that are shared by more than 1 cluster in  $D$ .  $D$  additionally induces the following. A collection of subsets  $c_i$  of  $V_D$ , where  $c_i$  contains all the objects in  $V_D$  that belong to cluster  $C_i$ ; and dually, for each  $v \in V_D$  and  $e \in E_D$ , the set  $S_v$  (resp.  $S_e$ ) of  $c_i$ 's that contain  $v$  (resp.  $e$ ).

The pair  $(C, D)$  is said to be a *standard decomposition* if the following hold.

- (1) The clusters in  $D$  are rigid *maximal proper cluster subsystems* of  $C$ . I.e., there is no proper subsystem of  $C$  that is rigid and properly contains any of them.
- (2) The clusters in  $D$  form a *complete covering set* for  $C$ , i.e., their union includes all the objects of  $C$  and no cluster is entirely contained in another cluster.
- (3) The objects in  $V_D$  represent point objects in the constraint system  $C$ , the constraints in  $E_D$  represent shared distance constraints in the constraint system  $C$ .
- (4) If  $C$  is a 3D constraint system, for any  $i, j$ ,  $|c_i \cap c_j| \leq 2$ ; alternately for any triple of points  $u, v, w \in V_D$ ,  $|S_u \cap S_v \cap S_w| \leq 1$  and for any pair of constraints  $e, f \in E_D$   $|S_e \cap S_f| \leq 1$ .

If  $C$  is a 2D constraint system, then for any  $i, j$ ,  $|c_i \cap c_j| \leq 1$ ; alternately for any pair of points  $u, v \in V_D$ ,  $|S_u \cap S_v| \leq 1$  and  $E_D$  is empty.

#### *Justification of Decomposition Requirements*

First, the *maximality* in the first requirement as well as the second requirement are satisfied by 3D geometric constraint solvers such as <sup>14</sup> which typically decompose  $C$  into a complete collection of maximal proper subsystems that are generically rigid clusters <sup>7,12</sup>. Such decompositions are desirable for numerous purposes including solution navigation, rigidity determination, dealing with over and under constrainedness, optimizing algebraic complexity, incorporating feature hierarchies <sup>15</sup> etc. This implies that any such decomposition satisfies one of two properties: it contains exactly 2 clusters that intersect on more than 2 points (1 point in the case of 2D) since their union would be rigid, or otherwise, no pair of clusters in the decomposition intersects on more than 2 points (1 point in the case of 2D, hence there are no shared constraints). In the former case, recombining the 2 clusters can be done easily as a linear system solution as pointed out in the introduction under Remark 2, and we do not need a set of incidences for doing so. Therefore, the fourth requirement is a natural one.

**Remark 4.** The above explanation has a simple consequence in 2D: no pair of clusters in the standard decomposition can share more than 1 point, i.e., there are no shared constraints. Hence the only dependences are caused by local cycles of incidences such as those removed by the second attempt in the Section 1 example. However, we nevertheless include the 2D case in our exposition as it serves as a consistency check of the concepts and algorithm developed here - i.e, in the 2D case our algorithm will automatically reduce to simply detecting these local cycles of incidences.

The third requirement restricts shared objects  $V_D$  to be points - a possible method of generalization to other types of shared objects is given in Section 4. However, once the shared objects are restricted to be points, the requirement concerning  $E_D$  is entirely natural, since the only natural constraints between pairs of points are incidence and distance constraints. Again to avoid confusion in exposition, we avoid shared incidence constraints and in general, incidence constraints *within* the clusters  $C_i$ . Since the  $C_i$  are already determined to be rigid clusters, this can be easily achieved by simply identifying those pairs of points within each  $C_i$ .

**Remark 5.** On the surface, the definition of standard decomposition seems to exclude module decompositions discussed in the latter part of Remark 2, and consequently it seems to forbid clusters such as  $C_4$ , in the unaltered example  $C$  in Figure 1, which are formed by implied distance constraints pairwise between  $v_1$ ,  $v_2$  and  $v_3$ . However, as discussed in the earlier part of Remark 2, note that a module decomposition of  $C$  is a standard decomposition of some  $C'$  of the same size, whose constraint set is a superset of  $C$ 's. For the Figure 1 example,  $C'$  explicitly contains the distance constraints pairwise between  $v_1$ ,  $v_2$  and  $v_3$ . Since our results apply to

all standard decompositions of all constraint systems, they, in effect, apply to module decompositions as well.

*Note:* With the above explanation, it should be clear that the 3D examples in the various discussions in Section 1 effectively satisfy the requirements for being a standard decomposition.

#### *Adjusted Degrees of Freedom of a Standard Decomposition*

Next we define a key quantity that will be used in defining wellformed set of incidences for recombining a standard decomposition.

The *Adjusted Degrees of Freedom* ( $adof$ )( $C, D, T$ ) of any subset  $T$  of clusters in a standard decomposition  $D$  of a constraint system  $C$  is a natural expression that automatically adjusts for explicit overconstraints and was first used in <sup>7</sup> and <sup>12</sup>, together with the notion of a canonical, complete, maximal decomposition of  $C$ . For our purposes here, the  $adof$  is a straightforward combinatorial expression for computing the generic number of degrees of freedom of a collection of maximal clusters that overlap and have other external constraints between them. A formal definition follows. First we define the usual degrees of freedom based on primitive geometric objects and rigid bodies.

- If  $C$  is a 3D (resp. 2D) constraint system, for any point  $v \in V_D$ ,  $dof(v) = 3$  (resp. 2); For any shared (distance) constraint  $e \in E_D$ ,  $dof(e) = 5$  (as pointed out in Remark 4,  $E_D$  is empty in 2D). For any other cluster  $C_i \in D$ ,  $dof(C_i) = 6$  (resp. 3), *unless*  $C_i$  is a rotationally symmetric cluster, for example representing a point in 2D or 3D, in which case  $dof(C_i) = 3$  (resp. 2); or representing a pair of points in 3D with a distance constraint between them, or a single fixed length line segment in 3D. In this case,  $dof(C_i) = 5$ . For any subset of clusters  $T \subseteq D$ , let  $X_T$  define the set of nonshared, external constraints relating objects in different clusters in  $T$  - these and the (nonshared) objects that they relate could be of any of the usual variety of types given in Section 1. For any external constraint  $x = (u, v)$ ,  $dof(x)$  is the number of degrees of freedom it *removes* from its participating objects  $u$  and  $v$ .
- The expression for the *Adjusted dof*  $adof(C, D, T)$  uses inclusion-exclusion:

$$\sum_{Q \subseteq T, |Q| \geq 1} (-1)^{|Q|-1} dof\left(\bigcap_{C_i \in Q} C_i\right) - \sum_{x \in X_T} dof(x)$$

**Remark 6.** Notice that the nonshared external constraints and the (nonshared) objects that they relate could be of any of the usual variety of types given in Section 1. The manner in which in this manuscript has no deeper technical significance beyond ease of exposition. Other equivalent treatments are possible. Specifically, the complete covering set requirement in the definition of a standard decomposition can be tightened to cover not just all objects but also all constraints in  $C$ . This would force each external constraint  $e = (u, v)$  between clusters to be treated as a

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separate “external constraint pseudo-cluster” whose  $dof$  is computed as:  $dof(v) + dof(u) - dof(e)$ . Such an external constraint pseudo-cluster would be permitted to share one object each with 2 other clusters, and we permit such shared objects alone to be of other types (than points). This treatment of external constraints reduces to the method used in this manuscript. For example, while the  $adof$  expression above would be modified to incorporate external constraint clusters directly in the inclusion-exclusion formula, and the last term would disappear, it is clear that these changes would not alter the value of the computed  $adof$ . Similarly, it will become clear in Section 3 that the algorithm will always pick the incidences corresponding to the 2 participating objects of such an external constraint pseudo-cluster, i.e., the corresponding external constraint will always be included in the output system.

The above expression has exponentially many terms in  $|T|$ . Using the fourth requirement of a standard decomposition, we next show a much simpler Moebius inversion formula <sup>1</sup> for  $adof$ .

**Proposition 1.** *Let  $C$  be a 3D geometric constraint system and  $D$  be a standard decomposition of  $C$ . Assume that The sets  $V_D, E_D, S_v, S_e$  are defined as above. Let  $T$  be any subset of  $D$ . Let  $T_1 \subseteq T$  be the non-rotationally symmetric clusters,  $T_2 \subseteq T$  be the clusters with 1 rotational symmetry in 3D,  $T_3 \subseteq T$  be the set of fully rotationally symmetric clusters, with no rotational degrees of freedom, and  $X_T$  be the set of external constraints. Then  $adof(C, D, T) =$*

$$6 * |T_1| + 5 * |T_2| + 3 * |T_3| - \sum_{x \in X_T} dof(x) - 3 \sum_{v \in V_D} (|S_v \cap T| - 1) + \sum_{e \in E_D} (|S_e \cap T| - 1)$$

*In the 2D case, since  $E_D$  and  $T_2$  are empty, this expression is*

$$3 * |T_1| + 2 * |T_3| - \sum_{x \in X_T} dof(x) - 2 \sum_{v \in V_D} (|S_v \cap T| - 1)$$

*Proof:* The negative term involving external constraints in  $X_T$  appears in both the original and simplified expressions and is hence irrelevant. Consider the nonzero summands of the original adjusted dof expression. For  $|Q| = 1$ , the summand is  $6 * |T_1| + 5 * |T_2| + 3 * |T_3|$ , (respectively  $3 * |T_1| + 2 * |T_3|$ , in 2D), giving the first positive terms in the above expression.

Assuming that no pair of clusters in  $T$  have a shared constraint, i.e., if  $E_D$  is empty, (which is always the case in 2D) each point  $v \in V_D$  contributes  $-3(|S_v \cap T| - 1)$  (respectively  $-2(|S_v \cap T| - 1)$  in 2D) to the summands corresponding to  $|Q| \geq 2$ , giving the relevant negative term in the above expression. This completes the proof for 2D since no pair of clusters shares a constraint.

In 3D, when clusters in  $T$  share constraints, each such shared constraint  $e \in E_D$  contributes  $-5(|S_e \cap T| - 1)$  to the summands corresponding to  $|Q| \geq 2$ . However, if  $e = (u, v)$ , the contribution of the vertices  $u$  and  $v$  have to be removed from those summands, hence each such shared constraint  $e$  contributes  $-5(|S_e \cap T| - 1) + 3(|S_e \cap T| - 1) + 3(|S_e \cap T| - 1) = +(|S_e \cap T| - 1)$ , which completes the proof for 3D, since no pair of clusters has more than 1 shared constraint.  $\square$

Observation 1.

- (1) If a constraint system  $C$  is generically wellconstrained, then  $adof(C, D, D) = 6$  in 3D and 3 in 2D. for all standard decompositions  $D$ .
- (2) If the constraint system  $C$  generically has overconstrained subsystems, then the adjusted dof depends on the particular standard decomposition. (Only in 2D distance constraint systems, where Laman's theorem holds, is the adjusted dof independent of the standard decomposition).
- (3) There are constraint systems that are not generically rigid (not generically well or well-overconstrained), but whose adjusted dof for *all* standard decompositions is at most 6 in 3D, or 3 in 2D. (Again, 2D distance constraint systems are well-behaved and this does not happen).

**Remark 7.** In the latter cases of the above observation, i.e, when the constraint system  $C$  has generically overconstrained subsystems, the preferred, canonical decompositions<sup>7,12</sup> are the following types mentioned earlier. (1) A so-called *complete, maximal* standard decomposition of  $C$  for which the *adof* gives the so-called generalized Laman count, which is at most 6 (3 in 2D) if the is constraint system is generically rigid. The converse is true for such decompositions provided all overconstraints are known to be explicit: for such generically under-overconstrained systems, these complete maximal decompositions guarantee an adjusted dof greater than 6 (3 in 2D). However, the converse is false even for such decompositions, if implicit dependencies are present: known generically under-overconstrained counterexamples in 3D are systems that embed the so-called “bananas” or “hinge” structures and are key to the famous 3D combinatorial rigidity characterization problem<sup>3, 8,9</sup>. (2) A *complete maximal module decomposition* of  $C$  which gives a so-called *module dof* count<sup>7</sup> which is at most 6 (3 in 2D) if the constraint system is generically rigid, (truth of converse is unknown, no known counterexamples). As mentioned in Remark 5, these decompositions may not be standard for  $C$ , they are standard for some (generically overconstrained) constraint system  $C'$  whose constraint set is a superset of  $C$ 's.

**Note.** For the remainder of this manuscript we will be concerned only about the last 2 summands of the *adof* expression in Proposition 1, which we call the *removed dof* (*rdof*). Hence, the only information that will be needed in a standard decomposition  $(C, D)$  is  $(V_D, E_D, \{c_i\})$ , from which the sets  $S_v, S_e$  can be derived for each  $v \in V_D, e \in E_D$ . Any other information in a constraint system  $C$  and the clusters in  $D$  is henceforth irrelevant. Hence we will simply identify this tuple with a standard decomposition which we will denote  $D$  and refer to the sets  $c_i \subseteq V_D$  as “clusters” in  $D$ .

The following definition makes this precise.

Let  $D$  be a standard decomposition of a geometric constraint system. Assume that the sets  $V_D, E_D, S_v, S_e$  are defined as above. Let  $T$  be any subset of  $D$ . Then

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in 3D,  $rdof(D, T) =$

$$3 \sum_{v \in V_D} (|S_v \cap T| - 1) - \sum_{e \in E_D} (|S_e \cap T| - 1)$$

For the 2D case,  $E_D$  is empty, and  $rdof(D, T) =$

$$2 \sum_{v \in V_D} (|S_v \cap T| - 1)$$

### Wellformed Set of Incidences

We are now ready to precisely define well-formed system of incidences.

An *incidence constraint for recombination* (short: incidence) of a standard cluster decomposition  $D = (V_D, E_D, \{c_i\})$  of a 3D constraint system  $C$  is a triple  $(v, \{c_i, c_j\}, l)$ , where  $v \in V_D$  represents the shared point at which the incidence is asserted;  $c_i, c_j \in D$ , with  $i \neq j$ , represent the two clusters which are constrained to be incident at  $v$ , and  $1 \leq l \leq 3$  denotes the particular coordinate ( $x$ ,  $y$  or  $z$ ) (of the point  $v$ ) which is equated by the incidence constraint. In case of 2D constraint systems  $C$ ,  $l \leq 2$ .

**Note:** Section 1 describes how such a set of incidence constraints  $\mathcal{I}(D)$  (if properly chosen) yields an algebraic system that could be used for recombining the solved clusters in  $D$  to obtain a realization of a (generic or nongeneric) constraint system  $C$ . We denote this recombination system as  $\mathcal{I}_C(D)$ . In fact, using the construction in Section 1, it represents a *family* of algebraic systems, one for each solution choice for each of the clusters in the decomposition  $D$ . Hence, when we refer to the realization or *solution set of  $\mathcal{I}_C(D)$* , we include solutions taken over all of the solution choices for the clusters in  $D$ .

**Definition 1.** A *wellformed set* of incidences  $\mathcal{I}(D)$  for a standard decomposition  $D$  of a constraint system satisfies the following.

- (1) There is no *local cycle* of incidences. Formally, for any  $v, l$ , and  $k \geq 3$ , if  $\mathcal{I}(D)$  contains

$$(v, \{c_{i_1}, c_{i_2}\}, l), (v, \{c_{i_2}, c_{i_3}\}, l), \dots, (v, \{c_{i_{k-1}}, c_{i_k}\}, l)$$

then  $\mathcal{I}(D)$  does not contain  $(v, \{c_{i_1}, c_{i_k}\}, l)$ .

- (2) For a subset of clusters  $T \subseteq D$ , let  $\mathcal{I}(D, T)$  denote those incidences  $(v, \{c_i, c_j\}, l)$  in  $\mathcal{I}(D)$  for which  $c_i, c_j \in T$ . For any  $T \subseteq D$ ,  $|\mathcal{I}(D, T)| \leq rdof(D, T)$ .
- (3)  $|\mathcal{I}(D)| = rdof(D, D)$ .

**Remark 8.** For the 2D case, notice that any system of incidences that avoids local incidence cycles is automatically wellformed. I.e., in 2D the first requirement in Definition 1 of wellformed systems of incidences, automatically implies the second. And any maximal system of incidences satisfying the first requirement automatically satisfies the third requirement. As a check, not surprisingly, it will turn out that the

algorithm given in Section 3 reduces in the 2D case to picking a maximal system of incidences that avoids local cycles.

### 3. Seam Graphs and Main Technical Results

A significant contribution of this section is a careful and appropriate definition of a construct called the *seam* graph from which an underlying matroid emerges and many of the results follow from basic matroid theory. A seam graph  $\mathcal{G}_D$  corresponding to a standard decomposition  $D = (V_D, E_D, \{c_i\})$  of a constraint system is an undirected graph:

$$\mathcal{G}_D := (\mathcal{V}, \mathcal{E}); \mathcal{V} := \bigcup_{v \in V_D} \mathcal{V}_v; \mathcal{E} := \mathcal{PE} \cup \mathcal{LE};$$

$$\mathcal{PE} := \bigcup_{v \in V_D} \mathcal{PE}_v = \{(u, w) : u, w \in \mathcal{V}_v\}; \mathcal{LE} := \bigcup_{e \in E_D} \mathcal{E}_e$$

The seam graph  $\mathcal{G}_D$  contains  $|S_v|$  copies (recall notation from Section 2) of each point  $v \in V_D$ . I.e., for each cluster  $c_i$  in  $S_v$  (that shares  $v$  in the decomposition  $D$ ), we create a copy  $v_i$  of the vertex  $v$ . These sets of vertices are denoted  $\mathcal{V}_v$ . The edges of  $\mathcal{G}_D$  are of two types. The first is the set  $\mathcal{PE}$  of *point seam edges* that connect every pair of vertices  $(u, w)$  in the set  $\mathcal{V}_v$  (forming a complete graph) for each  $v$ . The second is the set  $\mathcal{LE}$  of *line seam edges* which consists of  $|S_e|$  copies of every edge  $e \in E_D$ . I.e., for each edge  $(u, w)$  in  $E_D$ , and each cluster  $c_i$  in  $S_e$  that shares  $e$  in  $G$ , we create a copy  $(u_i, w_i)$  of the edge  $(u, w)$ . These sets of edges are denoted  $\mathcal{E}_e$ . (These do not exist for seam graphs corresponding to 2D systems).

Figures 4, 5 show examples of seam graphs for the example standard decompositions discussed in Section 1 and Figure 6 shows a more complex 3D standard decomposition and Figure 7 shows the corresponding seam graph.

*Note* that any subset  $T \subseteq D$  of clusters of a standard decomposition  $D$  itself satisfies relevant properties of a standard decomposition (covering potentially a smaller subsystem of the original constraint system). Hence it also induces a seam graph which we denote as  $\mathcal{G}_{D,T}$ .

A *seam path* is defined between or connecting a pair of vertices  $u, w$  in the same set  $\mathcal{V}_v$  of the seam graph  $\mathcal{G}_D$ . We denote a path as a sequence of consecutively incident edges. The path assigns each of its edges a direction. A seam path between  $u$  and  $w$ ,  $u \neq w$ , is a simple path that is the concatenation of simple path segments  $h_0, g_1, \dots, h_{2m}, g_{2m+1}, \dots, h_{4m}$ , where  $u$  and  $w$  are the first and last vertices of the first and last edge on the path; and where the  $h_{2j}$ 's could be empty and consist only of point seam edges (hence all edges in each  $h_{2j}$  are necessarily in the same set  $\mathcal{PE}_v$  for some  $v$ ). Each  $g_{2j+1}$  is a single edge in  $\mathcal{LE}$  and has a unique *partner* edge  $g_{2l+1}$  such that both edges belong to the same set  $\mathcal{E}_e$  for some  $e = (x, y) \in E_D$ . They appear directed as  $(x_i, y_i)$  and  $(y_k, x_k)$  along the path and are associated with the clusters

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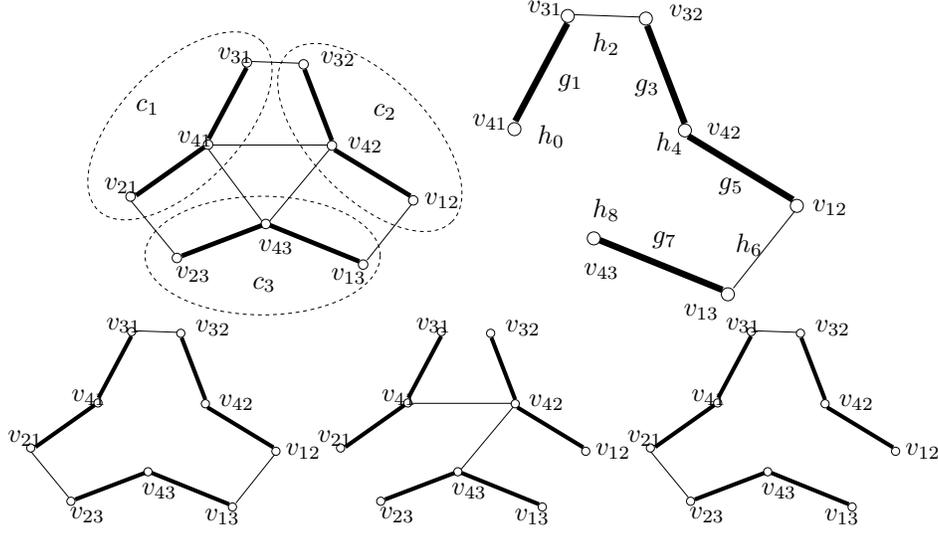


Fig. 4. Top left shows seam graph for decomposition in Figure 1; seam path (top right), seam cycle (bottom left); and 2 seam trees corresponding to two wellconstrained incidences in bottom of Figure 1

$c_i$  and  $c_k$  in  $S_e$ . A *seam cycle* is a closed seam path, i.e., a seam path between  $u$  and  $w$ , where  $u = w$ .

Figure 4 shows a seam path and a seam cycle for the standard decomposition in Figure 1. We now define a number of special subgraphs of a seam graph. *Seam subgraphs* are edge induced, *spanning subgraphs* i.e., they include all vertices, and are *line inclusive*, i.e., they include all of the line seam edges in  $\mathcal{LE}$ . *Note: when the context is clear, these subgraphs are simply identified with the set of their point seam edges.* A *seam forest* is a seam subgraph that does not contain any seam cycles. A seam subgraph is *seam connected* if it contains a seam path between every pair of vertices that belong to the same set  $\mathcal{V}_v$ , for every  $v \in V_D$ . A *seam tree* is a seam forest that is seam connected. Seam trees are both *minimal* seam connected subgraphs (removal of any point seam edge destroys seam connectedness) and the *maximal* seam forests (addition of any point seam edge creates a seam cycle).

Figure 4 shows 2 seam trees for the seam graph of the standard decomposition of Figure 1 that will be seen to correspond to the 2 wellconstrained choices of incidences shown on bottom of Figure 1. Figure 8 shows a seam tree for the seam graph of Figure 7, which corresponds to the standard decomposition of Figure 6. This will be seen to correspond to a wellformed set of incidences of Figure 9. Figure 5 shows a seam graph corresponding to the example decomposition in Figure 2; a seam tree which will be seen to correspond to a wellformed set of incidences in Figure 2 as well as seam subgraphs containing seam cycles corresponding to the bad choices of incidences in Figure 2. The next fact states that seam forests form the

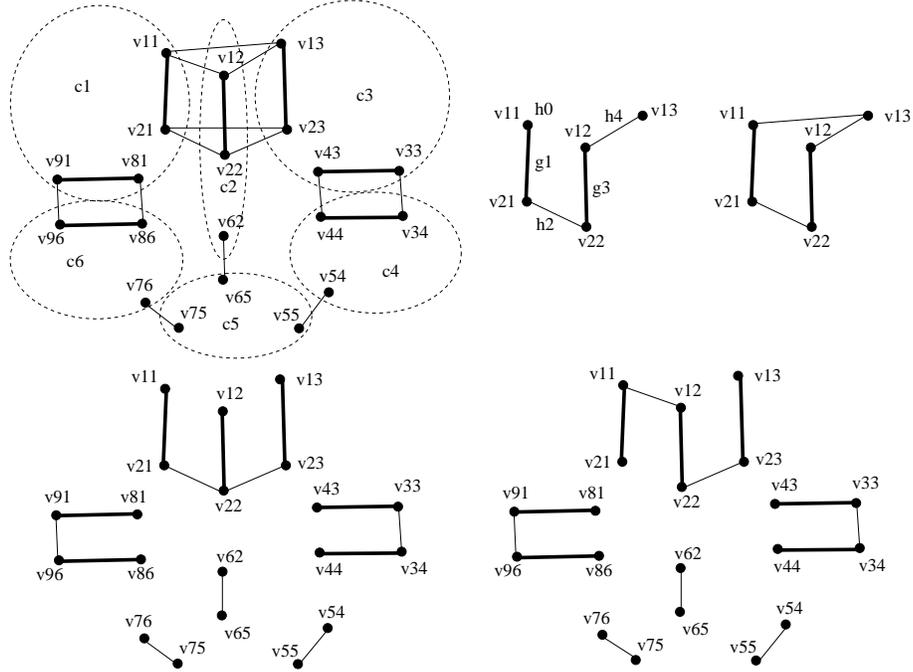


Fig. 5. Seam graph; seam cycles corresponding to the bad choice of incidences and seam trees corresponding to wellformed choices of incidences in Figure 2

independent sets of a matroid and several properties immediately follow from basic matroid theory. We refer the reader to <sup>13</sup> for matroid basics. It is straightforward to check, using the properties of a standard decomposition in Section 2 and the definition of the seam graph above that the matroid axioms are satisfied.

**Fact 2.** For a seam graph  $\mathcal{G}_D$  associated with a standard decomposition  $D$ , let  $\mathcal{F}$  be the collection of its seam forests (as noted, we identify a seam forest with its point seam edges). Then the set  $\mathcal{PE}$  of its point seam edges forms a matroid  $\mathcal{M}_D$  with  $\mathcal{F}$  as the collection of independent sets. We refer to this as a *seam forest matroid*. It follows that the seam trees are the bases or maximal independent sets and they all have the same size, namely the rank of the matroid.

Next we state the classical consequence of having an underlying matroid.

**Theorem 3.** *A seam tree in a seam graph can be found using a greedy algorithm.*

**Proof.** The algorithm starts with an empty set of point seam edges and picks one point seam edge per iteration ensuring that at each iteration, seam cycles are avoided. This can be done by simply taking the seam path transitive closure of the

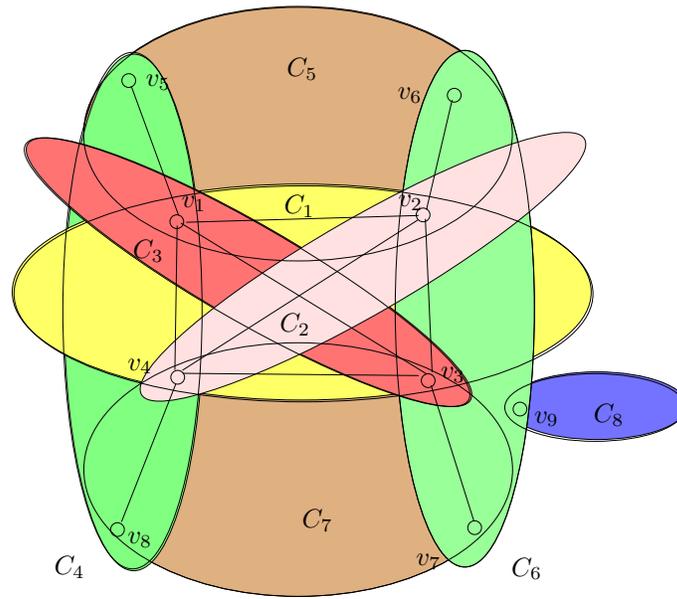


Fig. 6. A more complex standard decomposition

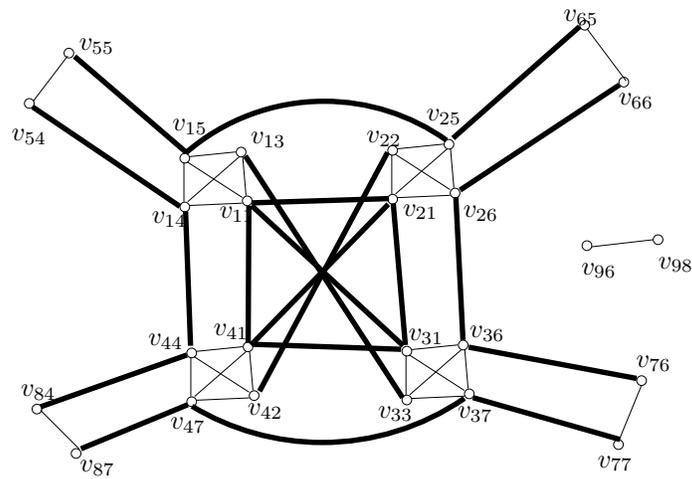


Fig. 7. Seam graph for standard decomposition of Figure 6

graph after each edge is added and picking the next edge outside this transitive closure. Matroid property of Fact 2 guarantees that any maximal set of point seam edges thus found will be a seam tree.  $\square$

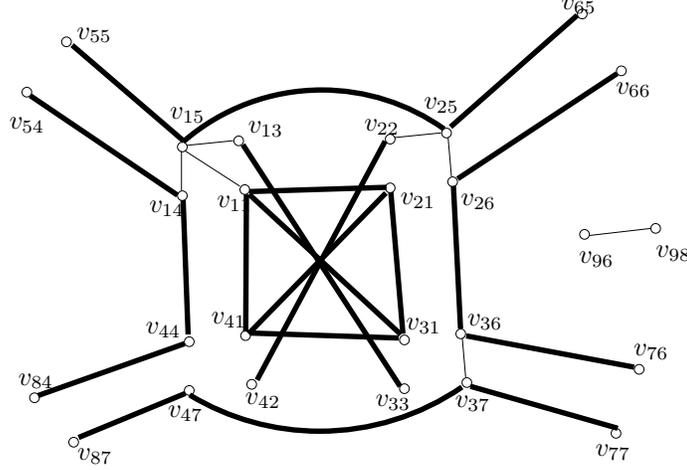


Fig. 8. Seam tree for seam graph of Figure 7

**Theorem 4.** Consider a seam graph  $\mathcal{G}_D$  of a standard decomposition  $D = (V_D, E_D, \{c_i\})$  of a 3D constraint graph  $G$ . Then  $r = \sum_{v \in V_D} (|S_v| - 1) - \sum_{e \in E_D} (|S_e| - 1)$  is the rank of the underlying seam forest matroid  $\mathcal{M}_D$ .

**Proof.** We will show that

- (i) a seam forest of  $\mathcal{G}_D$  has at most  $r$  point seam edges and
- (ii) any seam connected subgraph has at least  $r$  point seam edges.

Thus a seam tree, which is a seam connected seam forest has exactly  $r$  point seam edges, giving the rank of the matroid, from Fact 2.

*Proof of (i):* Start with a maximal seam forest  $F$  and extend it to a seam subgraph  $F^*$  that includes a tree of edges from each complete graph  $\mathcal{P}\mathcal{E}_v$  of point seam edges associated with a vertex  $v$  of  $V_D$ . Clearly the number of point seam edges in  $F^*$  is exactly  $\sum_{v \in V_D} (S_v - 1)$ . We will now show that  $|F^* \setminus F|$  is at least  $\sum_{e \in E_D} (S_e - 1)$ , thereby showing (i).

We can construct a maximal set  $W$  of pairs of the line seam edges  $\mathcal{L}\mathcal{E}$  such that in each pair, both edges belong in the same set  $\mathcal{E}_e$  for some  $e \in E_D$ ; and if  $(e_1, e_2), (e_2, e_3), \dots, (e_{m-1}, e_m)$  are in the set, then  $(e_j, e_k)$  is not in the set for any  $j \leq k - 2$ , with  $1 \leq j, k \leq m$ . From the structure of a seam graph,  $|W| = \sum_{e \in E_D} (S_e - 1)$ .

Crucially, since  $F$  is a maximal seam forest, and using the property of standard decompositions given in Section 2 (i.e, no pair of clusters in  $D$  share more than 1 edge), each pair of edges in  $W$ , is associated with a unique corresponding edge  $e^*$  in  $F^* \setminus F$ , and together they define a unique seam cycle (minimal seam circuit) associated with  $F$ , in  $F^*$ : specifically all the other edges in such a seam cycle belong

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to  $F$  and removal of the cycle while retaining edges in  $F$  requires removing  $e^*$ . So  $|W|$  is at most the number of such edges  $e^* \in F^* \setminus F$ .

*Proof of (ii):* We now show that  $|F^* \setminus F|$  is at most  $|W|$  for a collection  $W$  described above. Take  $F$  to be minimal seam connected, hence for each edge  $e^* \in F^* \setminus F$ , there is a unique seam path in  $F$  that connects the 2 end points of  $e^*$ . This path contains a unique pair of edges from a collection  $W$  as described above. So the number of such edges  $e^*$  is at most  $|W|$ .  $\square$

From this, we obtain a simple result about wellformed sets of incidences.

**Corollary 1.** *There is a wellformed set of incidences for a standard decomposition  $D = (V_D, E_D, \{c_i\})$  of a 3D constraint graph  $G$ , containing at least  $2 \sum_{v \in V_D} (|S_v| - 1)$  incidences.*

**Proof.** Specifically, it is sufficient to show that there is a set of incidences that satisfies the first 2 wellformed properties and has size at least  $2 \sum_{v \in V_D} (|S_v| - 1)$ , since the 3rd wellformed property does not restrict the size. We construct such a set of incidences  $\mathcal{J}(D)$  as follows.  $\mathcal{J}(D) := \bigcup_{v \in V_D} \mathcal{J}_{1,v} \cup \mathcal{J}_{2,v}$  where  $\mathcal{J}_{l,v}$  consists of exactly  $(|S_v| - 1)$  incidences  $(v, \{c_i, c_j\}, l)$  (recall notation from Section 2). Here the clusters  $c_i, c_j \in S_v$  contribute vertex copies  $v_i$  and  $v_j$  of  $v$ , in the seam graph  $\mathcal{G}_D$ . The  $(|S_v| - 1)$  incidences are chosen so that the edges  $(v_i, v_j)$  form a tree in the complete graph of point seam edges  $\mathcal{P}_v$  associated with  $v$  in the seam graph  $\mathcal{G}_D$ .

We now show that this set of incidences satisfies the first 2 wellformed properties of Section 2. It is clear that it does not contain any local incidence cycles, since the edges  $(v_i, v_j)$  form a tree. To show the second wellformed property, for any subset  $T \subseteq D$ , let  $\mathcal{J}(D, T)$  be the set of incidences restricted to  $T$ . Again, since the edges  $(v_i, v_j)$  form a tree, it follows that for any  $T$ ,  $|\mathcal{J}(D, T)| \leq 2 \sum_{v \in V_D} (|S_v \cap T| - 1)$ . However,  $rdof(D, T)$  was shown in Proposition 2 to be  $= 3 \sum_{v \in V_D} (|S_v \cap T| - 1) - \sum_{e \in E_D} (|S_e \cap T| - 1)$  for standard decompositions  $D$ .

Now we use Theorem 4, which shows that the quantity  $r = \sum_{v \in V_D} (|S_v \cap T| - 1) - \sum_{e \in E_D} (|S_e \cap T| - 1)$  is positive, since it is the rank of the seam forest matroid of the seam graph  $\mathcal{G}_{D,T}$  induced by the subset  $T$  of the standard decomposition  $D$ . From the expressions in the previous paragraph it follows that  $|\mathcal{J}(D, T)| \leq rdof(D, T) - r$ , hence the second wellformed property holds for the set of incidences  $\mathcal{J}(D)$ , thus completing the proof.  $\square$

However, the above constructed set of incidences does not satisfy the last wellformed property, for which we need the next result, which effectively solves the problem stated in the introduction.

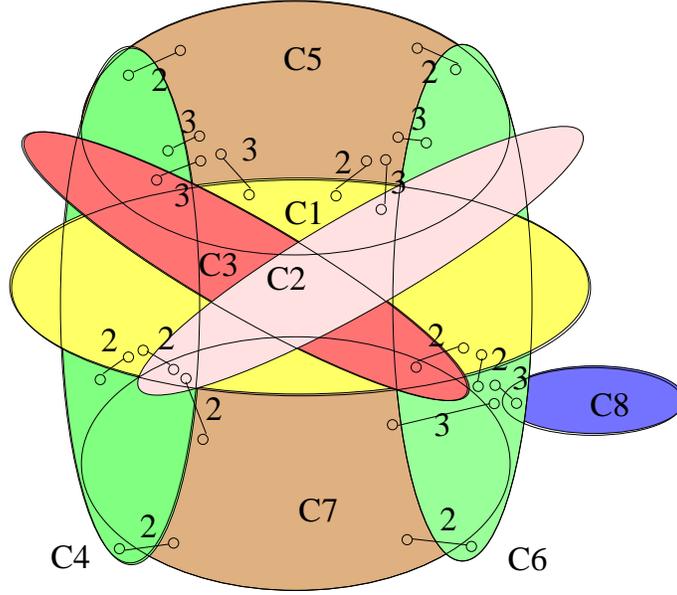


Fig. 9. Wellformed set of incidences corresponding to seam tree of Figure 8

**Corollary 2.** *Given a 3D constraint graph  $G$  and a standard decomposition  $D = (V_D, E_D, \{c_i\})$ , there is a greedy algorithm running in time at most  $O((|V_D||D|)^2)$  for finding a wellformed set of incidences. Here  $|D|$  denotes the number of clusters  $c_i$ .*

**Proof.** First we give the algorithm to construct a wellformed set of incidences  $\mathcal{I}(D)$ .

*Algorithm:* Construct a seam tree  $F$  greedily as in the proof of Theorem 3. and extend it as in the proof of Theorem 4 to  $F^*$  that includes a tree of edges from each complete graph of point seam edges  $\mathcal{P}_v$  associated with each  $v \in V_D$ .

Now the first part of the construction of  $\mathcal{I}(D)$  chooses incidences of the first two coordinates and is similar to the construction of the set  $\mathcal{J}(D)$  of incidences in the proof of Corollary 1. For each  $v$  and each point seam edge  $(v_i, v_j) \in \mathcal{P}_v \cap F^*$ , put 2 incidence constraints  $(v, \{c_i, c_j\}, 1)$  and  $(v, \{c_i, c_j\}, 2)$  in  $\mathcal{I}(D)$ .

For the second part of the construction concerning incidences of the 3rd coordinate, we turn to  $F$ . For each  $v$ , and each point seam edge  $(v_i, v_j) \in \mathcal{P}_v \cap F$ , put 1 incidence  $(v, \{c_i, c_j\}, 3)$  in  $\mathcal{I}(D)$ .

The running time of the entire procedure is at most quadratic in  $\sum_{v \in V_D} (|S_v|)$  which is at most  $O((|V_D||D|)^2)$ .

Figure 4 shows 2 seam trees from which the 2 wellconstrained sets of incidences shown in Figure 1 are constructed using the above procedure. Similarly, Figure 9

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shows a wellformed set of incidences corresponding to the seam tree of Figure 8.

Why does  $\mathcal{I}(D)$  satisfy the 3 wellformed properties? Since the construction only uses only a tree of point seam edges  $(v_i, v_j)$  corresponding to each vertex  $v$ , and for each of the 3 coordinates, we avoid local incidence cycles, satisfying the first wellformed property.

Next we show the 3rd wellformed property. From the construction, the size  $|\mathcal{I}(D)| = 2|F^*| + |F|$ . We have seen that  $|F^*| = \sum_{v \in V_D} (|S_v| - 1)$ . And we know from Theorem 4 that  $|F| = r$ , the rank of the underlying seam forest matroid, which is  $\sum_{v \in V_D} (|S_v| - 1) - \sum_{e \in E_D} (|S_e| - 1)$ . Thus

$$|\mathcal{I}(D)| = 3 \sum_{v \in V_D} (|S_v| - 1) - \sum_{e \in E_D} (|S_e| - 1)$$

which is exactly  $rdof(D, D)$ , from Proposition 2.

The proof of the 2nd wellformed property simply uses the fact that for any subset  $T$  of  $D$ ,  $|\mathcal{I}(D, T)| = 2|F_T^*| + |F_T|$ , where  $F_T^*$  and  $F_T$  denote  $F^*$  and  $F$  restricted (for each  $v \in V_D$ ) to those point seam edges  $(v_i, v_j)$  in  $\mathcal{P}_v$  where the corresponding clusters  $c_i$  and  $c_j$  both belong in  $S_v \cap T$ . Now clearly  $|F_T^*| \leq \sum_{v \in V_D} (|S_v \cap T| - 1)$ .

And since  $F_T$  is a subgraph of the seam tree  $F$ , it has at most as many edges as a seam tree for the seam graph  $\mathcal{G}_{D, T}$  induced by  $T$ . Hence  $|F_T| \leq \sum_{v \in V_D} (|S_v \cap T| - 1) - \sum_{e \in E_D} (|S_e \cap T| - 1)$ . Thus

$$|\mathcal{I}(D, T)| \leq 3 \sum_{v \in V_D} (|S_v \cap T| - 1) - \sum_{e \in E_D} (|S_e \cap T| - 1) = rdof(D, T),$$

where the latter equality is from Proposition 2.  $\square$

#### 4. Conclusions

By isolating an underlying matroid structure, we have given a simple algorithmic solution to the informal problem stated in the introduction, satisfying the 3 given requirements. To the best of our knowledge, neither this nor any similar problem has previously been studied in the literature although, for reasons detailed in Section 1, we believe it would be a recurring problem for any general decomposition-recombination based 3D constraint solver. The closest relative is Tay's work<sup>16,17</sup> on body, panel, hinge and bar frameworks and structures, which have additional restrictions that are crucial to his results. Hence those results do not extend to our problem.

As pointed out earlier, we have considered in<sup>5,4</sup> the problem of optimizing the algebraic complexity of the system of constraints for recombining a system  $C$  from its cluster decomposition. However, in that work, we *a priori* assume the availability

of wellformed systems of incidences. Here, we show how to choose such systems. It is our ongoing project to combine the two results, i.e., efficiently finding a wellformed system that is also optimal in terms of algebraic complexity.

In addition, we believe that seam graph and seam forest matroid are independently interesting and are likely to have further uses. We now present some directions in which it would be desirable to extend our results (or remove the restrictions under which they apply) and provide concrete suggestions for doing so.

*First*, our results only have useful implications for generic constraint systems. The drawback is that if the constraint repertoire is restricted, an appropriately natural notion of genericity may not be definable. So for our algorithmic solution to be meaningful in those contexts, it should contribute to an understanding of non-generic situations.

*Second*, we have given a solution to the problem of finding wellformed systems of incidences as defined in Section 2. To obtain an exact proof of implication to the requirements in the problem statement of Section 1, we need to precisely formalize the notion of genericity being used, which depends on the constraint repertoire, and moreover the notion needs to be natural for the specific application.

*Third*, the shared objects between clusters in our decomposition are restricted to be points, hence as pointed out in Section 2 the only natural *shared* constraints are distance constraints between these points, (recall that shared constraints cause the dependencies that our algorithm removes). In general, however, clusters could share other objects such as lines, planes etc. causing a variety of shared constraint possibilities.

The seam graph and seam forest matroid introduced here would be useful in addressing the first 2 issues mentioned above, as follows.

As mentioned earlier, the complete system of incidences for a cluster decomposition of a constraint system  $C$  (assuming infinite precision computations), while it contains dependencies, yields a recombination system that is equivalent to the original system  $C$  and hence generically preserves the classification of  $C$  as well-constrained, underconstrained or overconstrained. First note that if just enough incidences are removed so that all local cycles are eliminated - i.e., seam cycles that consist only of point seam edges are eliminated, then the resulting recombination system is still equivalent to the original system  $C$ . Such a system of incidences corresponds to a forest of trees of point seam edges, one maximal tree of point seam edges corresponding to each shared vertex in the input decomposition of  $C$ . This system which is referred to as  $F^*$  in the proof of Theorem 4, contains seam cycles and we know the exact structure of further incidences that have to be removed to obtain a seam tree  $F$  - i.e., the set  $F^* \setminus F$ . The removal of each of these incidences creates a bifurcation of the original solution variety defined by the complete set of incidences, i.e., the variety corresponding to  $C$  (potential extraneous solution varieties are created by the bifurcations). It immediately follows that we can index the extraneous solutions by subsets of removed incidences. Since the number of removed

incidences is obtained directly from the rank of the underlying seam forest matroid, we immediately have a nontrivial upperbound on the number of extraneous solution varieties.

In fact, the seam tree  $F$  gives a simple algorithm to completely describe these extraneous varieties: as mentioned in the proof of Theorem 4, each of these removed incidences is associated with a unique seam cycle in the final seam tree  $F$ . Given a seam cycle, it is associated with a unique pair of line seam edges (which corresponds to a shared constraint between a specific pair of clusters in the decomposition of  $C$ ). These pairs form the set  $W$  in the proof of Theorem 4 and we know that for any shared constraint  $e$ , the number of such line seam edge pairs is less than the number of clusters sharing  $e$ , i.e., at most  $|S_e| - 1$ . Thus each removed incidence is associated with a unique (cluster) copy of a shared constraint in the input decomposition. Hence a description of the extraneous variety indexed by a particular subset of  $k$  removed incidences can be obtained directly from the original variety corresponding to  $C$  and these  $k$  shared constraint copies. The efficiency of obtaining these descriptions as well as the complexity of the descriptions (i.e., the “size” of the polynomials in the description) can be reduced by choosing “short” seam trees where all removed incidences would correspond to short cycles.

A key observation is that in the above discussion we have *not* used *any* genericity properties of the original constraint system  $C$  or of the extraneous varieties, which clearly indicates the usefulness of the seam graph in addressing the first issue above.

To address the second issue above, for wellformed systems of incidences obtained from seam trees, observe that the structure and description of of extraneous varieties discussed above gives us a simple method to eliminate those extraneous varieties that are nongeneric over the reals (i.e., those that do not preserve the original classification of the constraint system  $C$ ), by a real perturbation of  $C$  that infinitesimally changes *only* the shared constraints in the given decomposition of  $C$ . It can be shown that the original classification of a generic  $C$  as wellconstrained, underconstrained or overconstrained would be preserved by all but a measure zero set of *such* perturbations. This defines the *precise notion of genericity* under which we can formally solve the first problem informally stated in Section 1. I.e., for generic  $C$  under this definition, seam trees provide both minimal systems of incidences that still generically preserve the classification of  $C$ . Using a similar definition and argument, we can use seam trees to formally state and solve the alternative problem statement given in Section 1: seam trees provide maximal systems of incidences such that a generic perturbation of these incidences still generically preserves the classification of  $C$ . An interesting question remains: can similar implications be drawn for other wellformed systems of incidences that satisfy the formal definition of Section 2, but which are *not* obtained directly from seam trees, using the method described in Corollary 2 (it is not hard to see that many such wellformed systems exist)?

To address the third issue above, one approach is to investigate if the seam graph representation can be extended to include other types of shared objects and con-

straints and if the resulting introduced-incidence dependencies can be characterized via matroids or other combinatorial constructs. In fact, a systematic program of investigation would be needed, starting with restricted classes of shared objects and constraints and gradually enlarging or combining these classes. Our current manuscript - which deals with shared point objects alone - can be viewed as the first step in such a program of investigation.

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