

## Lecture :3D Realizability

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We will present that the forbidden minors for 3-realizable graph are  $K_5$  and the octahedron. You can directly go to Theorem 13 and if necessary refer to the definitions, lemmas and theorems before it.

**Definition 1** A graph  $G$  is ***d-realizable*** if, given any realization  $p_1, \dots, p_n$  of the graph in some finite dimensional Euclidean space, there exists a realization  $q_1, \dots, q_n$  in  $E^d$  with the same edge lengths:  $|p_i - p_j| = |q_i - q_j|$  for all  $\{i, j\} \in E(G)$ .

Note that in the definition of ***d-realizable*** allows edges to have length zero. Also note that ***d-realizable*** is a property of graphs.

**Definition 2** Let  $G_1$  and  $G_2$  be two graphs, both containing a  $K_m$  as a subgraph. The ***m-sum*** of  $G_1$  and  $G_2$ , denoted  $G_1 \oplus G_2$ , is the graph obtained by identifying the two  $K_m$ 's.

**Definition 3** A graph is ***m-tree*** if it can be obtained through a sequence of  $m$ -sums of  $K_{m+1}$ 's. A graph is a ***partial m-tree*** if it is a subgraph of a  $m$ -tree.

**Theorem 4** All partial  $d$ -trees are  $d$ -realizable.

**Definition 5** A minor of a graph  $G$  is any graph obtained from  $G$  by a sequence of

- edge deletions and
- edge contractions (identify the two vertices belonging to an edge and then remove any loops or multiple edges)

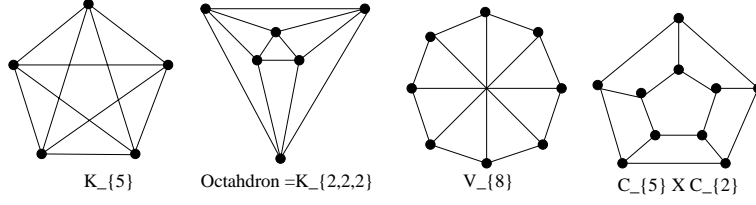
**Theorem 6** If a graph  $G$  is  $d$ -realizable and  $H$  is a minor of  $G$ , then  $H$  is  $d$ -realizable.

**Sketch of Proof:** Zero length edges are allowed. ■

**Theorem 7** The forbidden minors for partial 3-trees are  $K_5$ , the 1-skeleton of the octahedron ( $K_{2,2,2}$ ),  $V_8$  and  $C_5 \times C_2$  (see Figure 1).

**Theorem 8**  $K_5$  is not 3-realizable.

Actually,  $K_5$  is over-constrained in 3D but under-constrained in 4D.



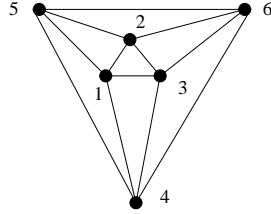
**Figure 1:** Forbidden minors for partial 3-trees

**Theorem 9** *The 1-skeleton of the octahedron ( $K_{2,2,2}$ ) is not 3-realizable.*

**Sketch of Proof:**

Assign the following values for  $K_5$  shown in Figure 2:  $d_{12} = 1, d_{23} = 1, d_{13} = 2, d_{14} = \sqrt{2}, d_{34} = \sqrt{2}, d_{15} = d_{25} = d_{45} = 1, d_{26} = d_{36} = d_{46} = 1$ . In order to have a solution in 3D,  $d_{56}$  has to be  $\sqrt{2}$  or 2. So if we let  $\sqrt{2} < d_{56} < 2$ , then it has no embedding in 3D but have infinite many solutions in 4D.

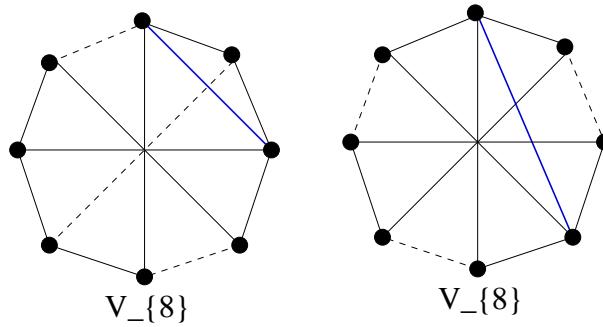
■



**Figure 2:**  $K_{2,2,2}$  is not 3-realizable

The graphs  $V_8$  and  $C_5 \times C_2$  are 3-realizable. This leaves the possibility that there are other graphs which are not 3-realizable but do not have  $K_5$  or the octahedron as a minor. The following discussion will eliminate this possibility by showing that any graph containing  $V_8$  or  $C_5 \times C_2$  as a minor either contains  $K_5$  or octahedron as a minor or is 3-realizable.

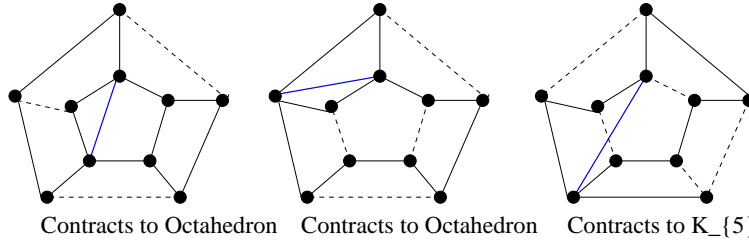
**Lemma 10** *If any edge is added between non-adjacent vertices of  $V_8$ , the resulting graph has  $K_5$  as a minor.*



**Figure 3:** Graphs of  $V_8$  with an added edge contract to  $K_5$

**Sketch of Proof:** There are two ways to add an edge to  $V_8$  up to graph isomorphism. Refer to Figure 3, if we contract the dotted edges, the resulting graph is  $K_5$ . ■

**Lemma 11** *If any edge is added between non-adjacent vertices of  $C_5 \times C_2$ , the resulting graph has either the octahedron or  $K_5$  as a minor.*



**Figure 4:** Graphs of  $C_5 \times C_2$  with an added edge contract to octahedron or  $K_5$

**Sketch of Proof:**

There are three ways to add an edge to  $C_5 \times C_2$  up to graph isomorphism. Refer to Figure 4, if we contract the dotted edges, the resulting graph is either octahedron or  $K_5$ . ■

A graph  $H$  is a **subdivision** of a graph  $G$  if  $H$  can be obtained from  $G$  by replacing every edge  $\{i, j\}$  of  $G$  with a path from vertex  $i$  to vertex  $j$ .

**Lemma 12** *Let  $H$  be a graph whose vertices are of maximum degree 3. If a graph  $G$  has  $H$  as a minor, then  $G$  contains a subdivision of  $H$  as a subgraph.*

**Theorem 13** *The forbidden minors for 3-realizable graph are  $K_5$  and the octahedron.*

**Sketch of Proof:**

By Theorem 4, all partial 3-trees are 3-realizable. That is, by Theorem 7, if a graph has no minor of  $K_5$ ,  $K_{2,2,2}$ ,  $V_8$  or  $C_5 \times C_2$ , it is 3-realizable.

According to Theorem 6 together with Theorem 8 and Theorem 9, if a graph has a minor  $K_5$  or  $K_{2,2,2}$  then this graph is not 3-realizable.

Now to finish the proof, let's consider the graphs which have minor  $V_8$  or  $C_5 \times C_2$  but do not have minor  $K_5$  or  $K_{2,2,2}$ . We will prove in this case the graphs are 3-realizable by showing it is a subgraph of 2-sum or 3-sum of 3-realizable graphs.

Firstly consider the case that  $G$  has  $V_8$  as a minor. Note that any vertex in  $V_8$  has degree right 3, so by Lemma 12,  $G$  has a subgraph graph which is a subdivision of  $V_8$  and we denote it by  $H$ . Remove  $H$  from  $G$ , we will prove that each connected component in  $G \setminus H$  is connected in  $G$  to exactly one of the subdivided edge of  $H$ . One connected component may only connect to one vertex which is the end vertex of 2 or 3 subdivided edges and we assign any subdivided edge to this component.

If a component did connect to two subdivided edges, say  $\{i, j\}$  and  $\{k, l\}$ . Since  $V_8$  contains no triangles, two of the four relevant vertices (say  $i$  and  $k$ ) must be non-adjacent in  $V_8$ . The subdivided edges can be contracted in  $G$  so that the path goes from  $i$  to  $k$ , which contradicts Lemma 10.

Meanwhile we have the same argument with the case that  $G$  has  $C_5 \times C_2$  as a minor.

Thus, we can assign a subdivided edge  $\{i, j\}$  to each of the components.

For any subdivided edge  $\{i, j\}$ , we can add an edge  $\{i, j\}$  to  $G$  if edge  $\{i, j\}$  is not in  $G$ . So we can get a new graph by adding this kind of edges. This new graph is the 2-sum along the edge  $\{i, j\}$  of smaller graphs such as  $V_8, C_5 \times C_2$  or partial 3-tree. So this new graph is 3-realizable.  $G$  is a subgraph of this new graph, so  $G$  is 3-realizable. Now we discussed all the cases and finished the proof.

■

A characterization of 3-realizable graphs is: every 3-realizable graph is a subgraph of a graph that can be obtained by a sequence of 3-sums and 2-sums involving  $K_4, V_8$  and  $C_5 \times C_2$ .

## References

- [1] Connelly, R., and Slougher, M. "Realizability of Graphs," <http://www.math.cornell.edu/~connelly/realizability.new.pdf>.