

# Geometric Algorithms

## Lecture 1:

Course Organization

Introduction

Line segment intersection for map overlay

# This course

## instructors:

Kevin Buchin and Herman Haverkort

## course website:

<http://www.win.tue.nl/~hermanh/teaching/2IL55/>

## content: *algorithmic aspects of spatial data*

- How to store, analyze, create, and manipulate spatial data
- applications in robotics, computer graphics, virtual reality, geographic information systems ...

register on StudyWeb!

# This course

## learning objectives:

At the end of this course you should be able to ...

- decide which algorithm or data structure to use in order to solve a given basic geometric problem,
- analyze new problems and come up with your own efficient solutions using concepts and techniques from the course.

## grading:

- do 3 homework assignments: 20% each
- write research report (in pairs): 40%
- to pass: you need 50% of points in the homework AND a 5 for the research report

# This course

before the course you should ...

- have complete Advanced Algorithms (2IL45)
- have experience with the following topics:
  - *basic algorithm design techniques*: divide-and-conquer, greedy algorithms, linear programming ...
  - *basic analysis techniques*: proofs with induction and invariants, O-notation, solving recurrences and summations, basic probability theory ...
  - *basic data structures*: binary search trees, heaps ...

# This course

book (compulsory):

[BCKO] M. de Berg, O. Cheong, M. van Kreveld, M. Overmars, *Computational Geometry: Algorithms and Applications* (3rd edition). Springer-Verlag, Heidelberg, 2008.

acknowledgement: slides will be based on slides by M. van Kreveld

# Introduction

# Geometry: points, lines, ...

- Plane (two-dimensional),  $\mathbb{R}^2$
- Space (three-dimensional),  $\mathbb{R}^3$
- Space (higher-dimensional),  $\mathbb{R}^d$

A **point** in the plane, 3-dimensional space, higher-dimensional space.

$$p = (p_x, p_y), p = (p_x, p_y, p_z), p = (p_1, p_2, \dots, p_d)$$

A **line** in the plane:  $y = m \cdot x + c$ ; representation by  $m$  and  $c$

A **half-plane** in the plane:  $y \leq m \cdot x + c$  or  $y \geq m \cdot x + c$

Represent vertical lines? Not by  $m$  and  $c$  ...

# Geometry: line segments

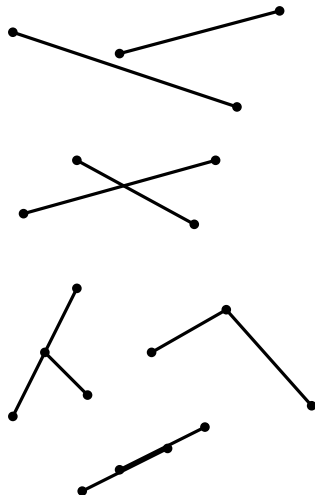
A **line segment**  $\overline{pq}$  is defined by its two endpoints  $p$  and  $q$ :

$$(\lambda \cdot p_x + (1 - \lambda) \cdot q_x, \lambda \cdot p_y + (1 - \lambda) \cdot q_y)$$

where  $0 \leq \lambda \leq 1$

Line segments are assumed to be **closed** = with endpoints, not **open**

Two line segments **intersect** if they have some point in common. It is a **proper intersection** if it is exactly one interior point of each line segment





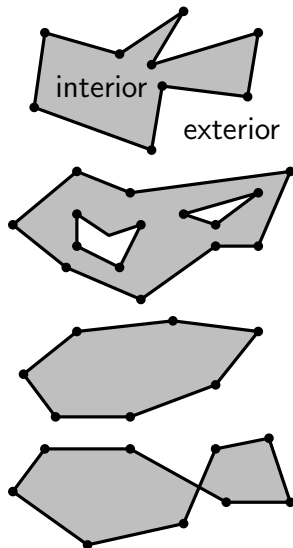
# Polygons: simple or not

A **polygon** is a connected region of the plane bounded by a sequence of line segments

- simple polygon
- polygon with holes
- convex polygon
- non-simple polygon

The line segments of a polygon are called its **edges**, the endpoints of those edges are the **vertices**

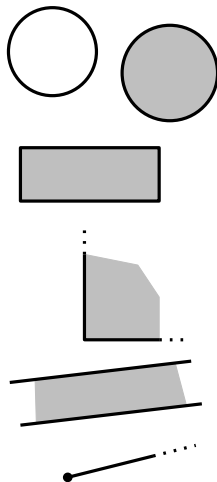
Some abuse: polygon is only boundary



# Other shapes: rectangles, circles, disks

A **circle** is only the boundary, a **disk** is the boundary plus the interior

Rectangles, squares, quadrants, slabs, half-lines, wedges, ...

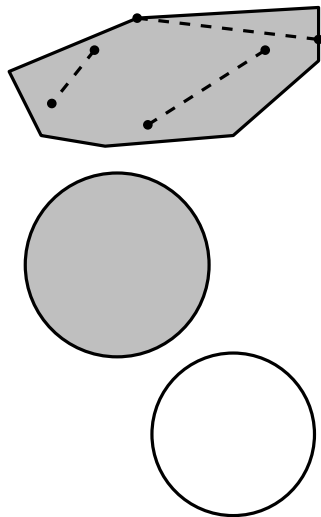


# Convexity

A shape or set is **convex** if for any two points that are part of the shape, the whole connecting line segment is also part of the shape

**Question:** Which of the following shapes are convex? Point, line segment, line, circle, disk, quadrant?

For any subset of the plane (set of points, rectangle, simple polygon), its **convex hull** is the smallest convex set that contains that subset



# Relations: distance, intersection, angle

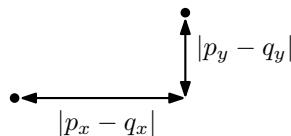
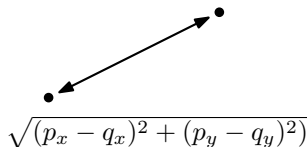
The distance between two points is generally the **Euclidean distance**:

$$\sqrt{(p_x - q_x)^2 + (p_y - q_y)^2}$$

Another option: the **Manhattan distance**:

$$|p_x - q_x| + |p_y - q_y|$$

**Question:** What is the set of points at equal Manhattan distance to some point?



## Relations: distance, intersection, angle

The distance between two geometric objects other than points usually refers to the minimum distance between two points that are part of these objects

**Question:** How can the distance between two line segments be realized?

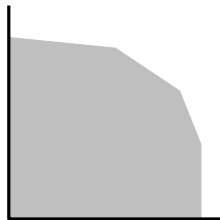
# Relations: distance, intersection, angle

The **intersection** of two geometric objects is the set of points (part of the plane, space) they have in common



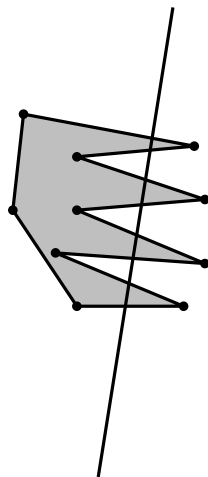
**Question 1:** How many intersection points can a line and a circle have?

**Question 2:** What are the possible outcomes of the intersection of a rectangle and a quadrant?



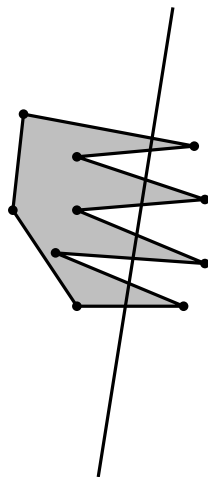
# Relations: distance, intersection, angle

**Question 3:** What is the maximum number of intersection points of a line and a simple polygon with 10 vertices (trick question)?



# Relations: distance, intersection, angle

**Question 4:** What is the maximum number of intersection points of a line and a simple polygon *boundary* with 10 vertices (still a trick question)?





# Description size

A point in the plane can be represented using two reals

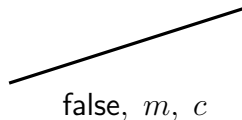
A line in the plane can be represented using two reals and a Boolean (for example)

A line segment can be represented by two points, so four reals

A circle (or disk) requires three reals to store it (center, radius)

A rectangle requires four reals to store it

$$y = m \cdot x + c$$



$$x = c$$

true, .., c

# Description size

A simple polygon in the plane can be represented using  $2n$  reals if it has  $n$  vertices (and necessarily,  $n$  edges)

A set of  $n$  points requires  $2n$  reals

A set of  $n$  line segments requires  $4n$  reals

A point, line, circle, ... requires  $O(1)$ , or constant, storage.

A simple polygon with  $n$  vertices requires  $O(n)$ , or linear, storage

# Computation time

Any computation (distance, intersection) on two objects of  $O(1)$  description size takes  $O(1)$  time!

**Question:** Suppose that a simple polygon with  $n$  vertices is given; the vertices are given in counterclockwise order along the boundary. Give an efficient algorithm to determine all edges that are intersected by a given line.

How efficient is your algorithm? Why is your algorithm efficient?

# Convex hull problem (Advanced Algorithms)

Give an algorithm that computes the convex hull of any given set of  $n$  points in the plane efficiently

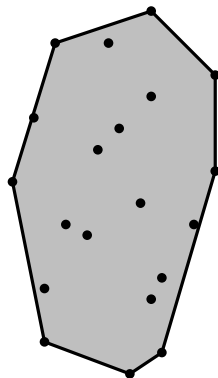
**Question 1:** What is the input size?

**Question 2:** Why can't we expect to do any better than  $O(n)$  time?

**Question 3:** Is there any hope of finding an  $O(n)$  time algorithm?

**Question 4:** What's the optimal running time?

Read Chapter 1 of [BCKO] today!



# Computational geometry scope

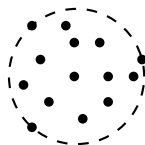
In computational geometry, problems on input with more than constant description size are the ones of interest

**Computational geometry (theory):** Study of geometric problems on geometric data, and how efficient geometric algorithms that solve them can be

**Computational geometry (practice):** Study of geometric problems that arise in various applications and how geometric algorithms can help to solve well-defined versions of such problems

# Computational geometry theory

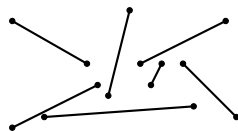
Computational geometry (theory):  
Classify abstract geometric problems  
into classes depending on how  
efficiently they can be solved



smallest enclosing circle



closest pair



any intersection?

find all intersections

# Computational geometry practice

Application areas that require geometric algorithms are computer graphics, motion planning and robotics, geographic information systems, CAD/CAM, statistics, physics simulations, databases, games, multimedia retrieval, ...

- Computing shadows from virtual light sources
- Spatial interpolation from groundwater pollution measurements
- Computing a collision-free path between obstacles
- Computing similarity of two shapes for shape database retrieval

# Computational geometry history

**Early 70s:** First attention for geometric problems from algorithms researchers

**1976:** First PhD thesis in computational geometry (Michael Shamos)

**1985:** First Annual ACM Symposium on Computational Geometry.  
Also: first textbook

**1996:** CGAL: first serious implementation effort for robust geometric algorithms

**1997:** First handbook on computational geometry (second one in 2000)

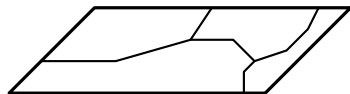
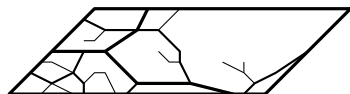


# Line segment intersection

# Map layers

In a geographic information system (GIS) data is stored in separate layers

A layer stores the geometric information about some theme, like land cover, road network, municipality boundaries, red fox habitat, ...

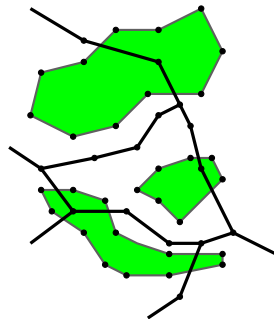


# Map overlay

**Map overlay** is the combination of two (or more) map layers

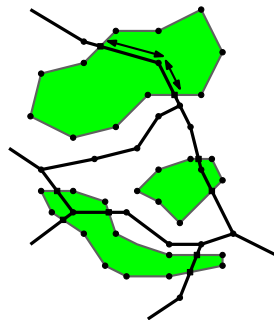
It is needed to answer questions like:

- What is the total length of roads through forests?
- What is the total area of corn fields within 1 km from a river?



# Map overlay

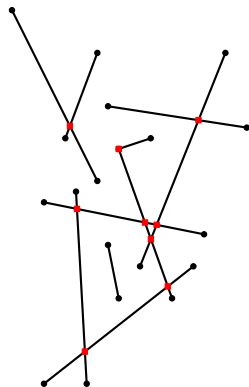
To solve map overlay questions, we need (at the least) intersection points from two sets of line segments (possibly, boundaries of regions)



# The (easy) problem

Let's first look at the easiest version of the problem:

Given a set of  $n$  line segments in the plane, find all intersection points efficiently



# An easy, optimal algorithm?

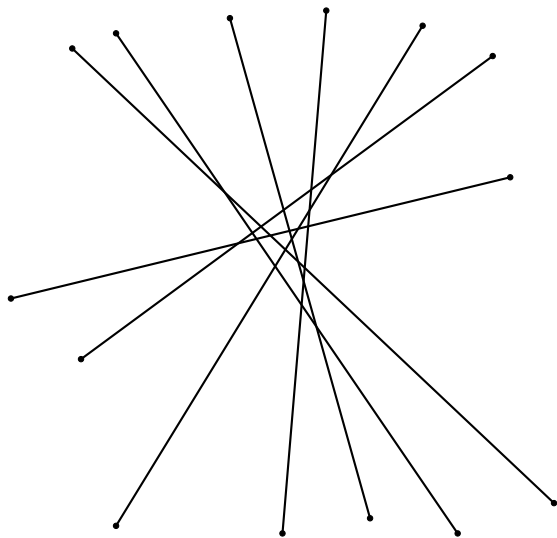
**Algorithm** FINDINTERSECTIONS( $S$ )

*Input.* A set  $S$  of line segments in the plane.

*Output.* The set of intersection points among the segments in  $S$ .

1. **for** each pair of line segments  $e_i, e_j \in S$
2.     **do if**  $e_i$  and  $e_j$  intersect
3.         **then** report their intersection point

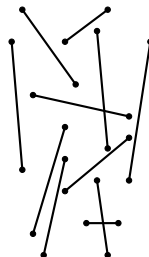
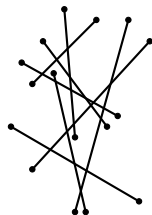
**Question:** Why can we say that this algorithm is optimal?



# Output-sensitive algorithm

The asymptotic running time of an algorithm is always **input-sensitive** (depends on  $n$ )

We may also want the running time to be **output-sensitive**: if the output is large, it is fine to spend a lot of time, but if the output is small, we want a fast algorithm

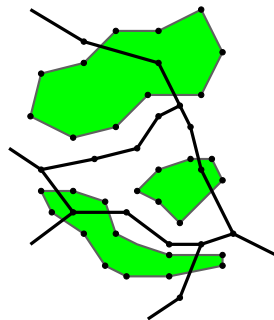




# Intersection points in practice

**Question:** How many intersection points do we typically expect in our application?

If this number is  $k$ , and if  $k = O(n)$ , it would be nice if the algorithm runs in  $O(n \log n)$  time

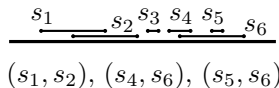
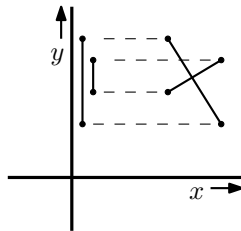


# First attempt

**Observation:** Two line segments can only intersect if their  $y$ -spans have an overlap

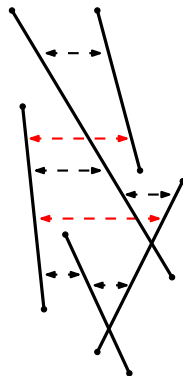
So, how about only testing pairs of line segments that intersect in the  $y$ -projection?

1-D problem: Given a set of intervals on the real line, find all partly overlapping pairs



## Second attempt

**Refined observation:** Two line segments can only intersect if their  $y$ -spans have an overlap, and they are adjacent in the  $x$ -order at that  $y$ -coordinate (they are *horizontal neighbors*)

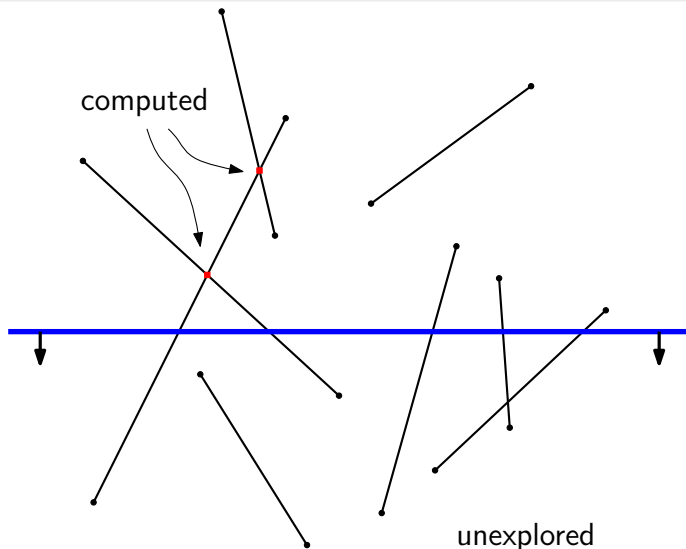


# Plane sweep

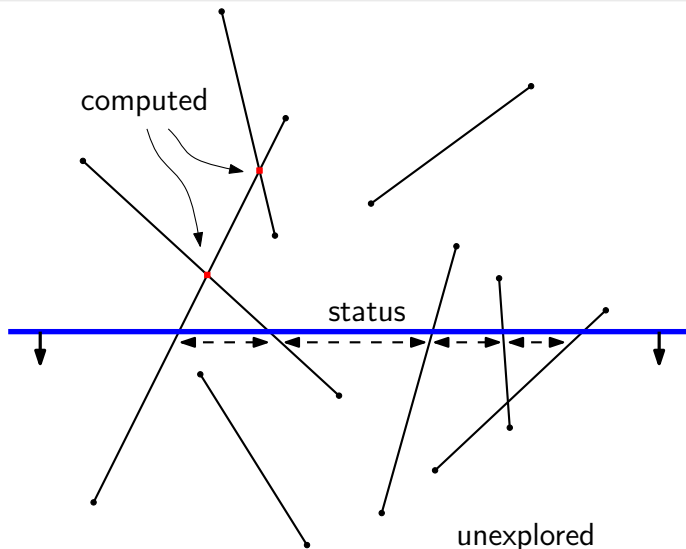
The **plane sweep technique**: Imagine a horizontal line passing over the plane from top to bottom, solving the problem as it moves

- The sweep line stops and the algorithm computes at certain positions  $\Rightarrow$  **events**
- The algorithm stores the relevant situation at the current position of the sweep line  $\Rightarrow$  **status**
- The algorithm knows everything it needs to know above the sweep line, and found all intersection points

# Sweep



## Sweep and status

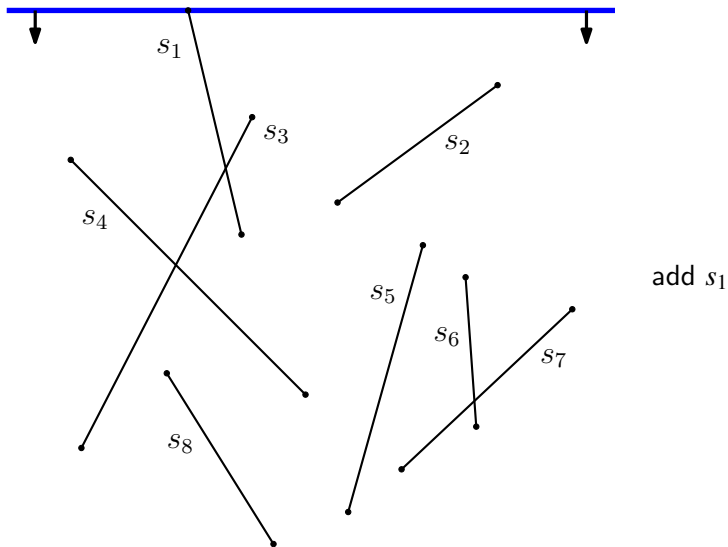


# Status and events

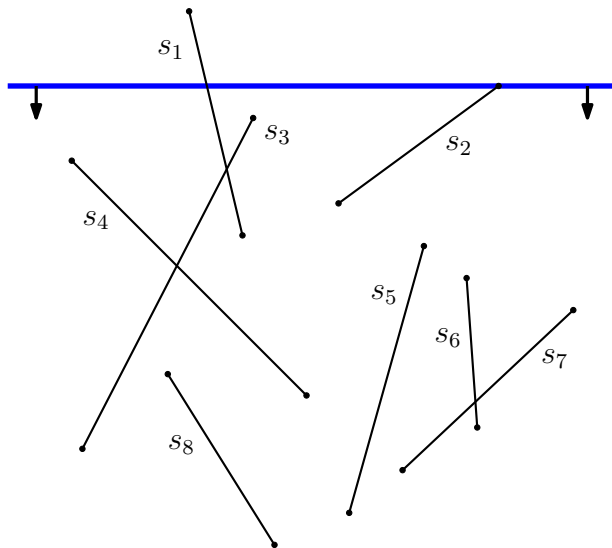
The **status** of this particular plane sweep algorithm, at the current position of the sweep line, is the set of line segments intersecting the sweep line, ordered from left to right

The **events** occur when the *status changes*, and when *output is generated*

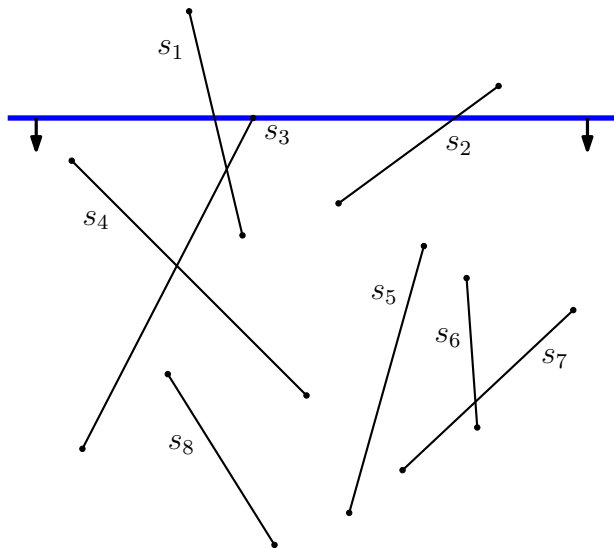
event  $\approx$  interesting y-coordinate



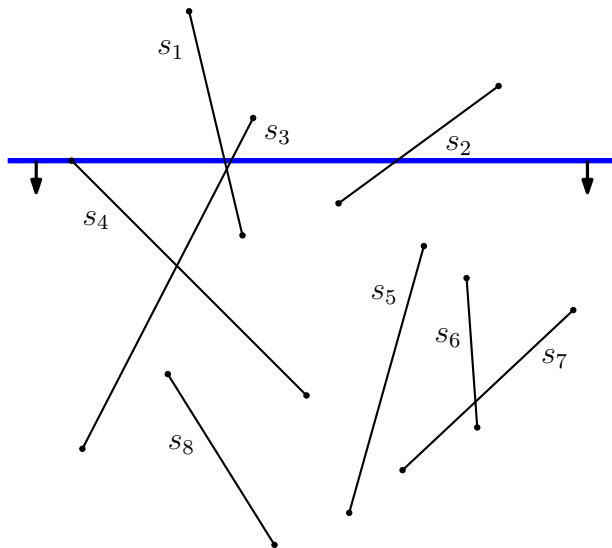




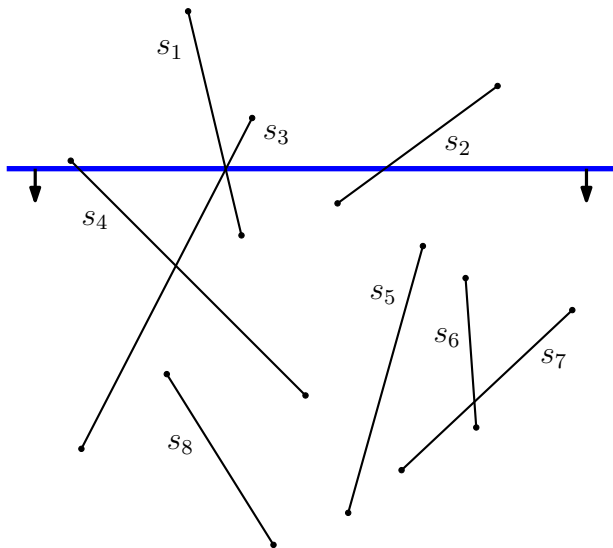
add  $s_2$  after  $s_1$



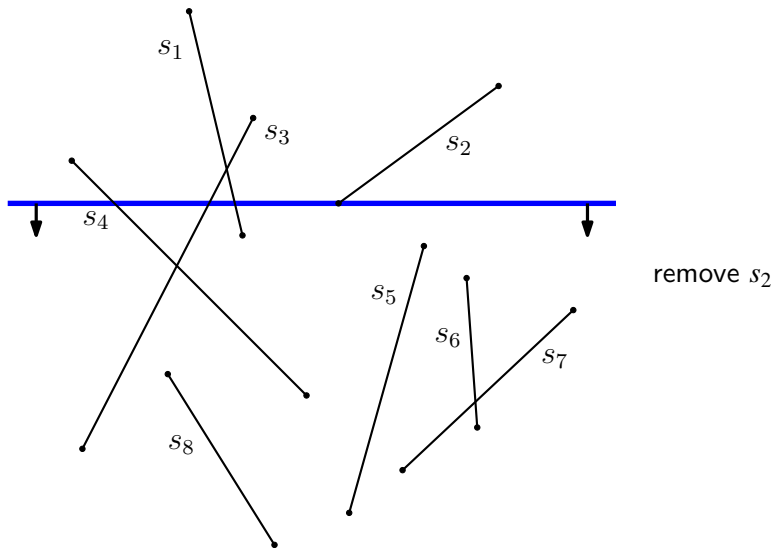
add  $s_3$  between  $s_1$   
and  $s_2$

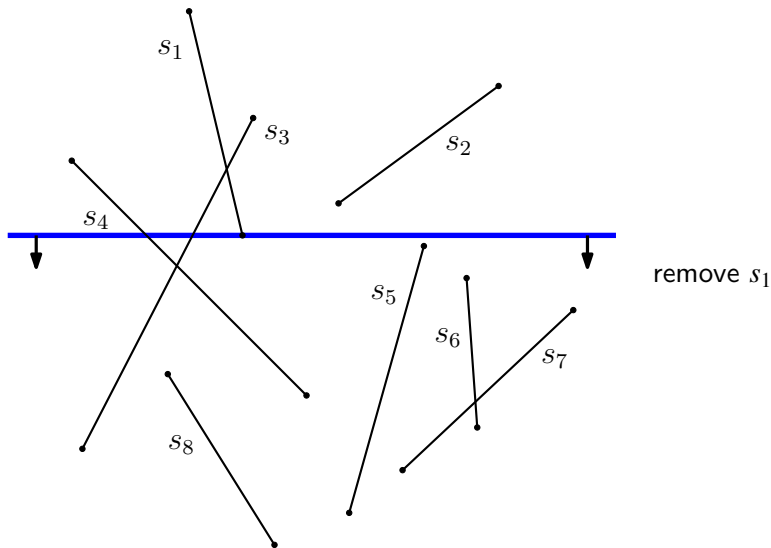


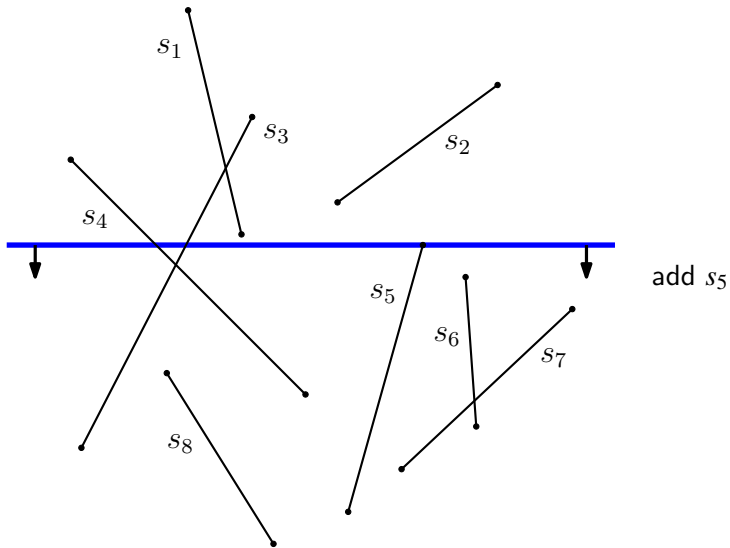
add  $s_4$  before  $s_1$

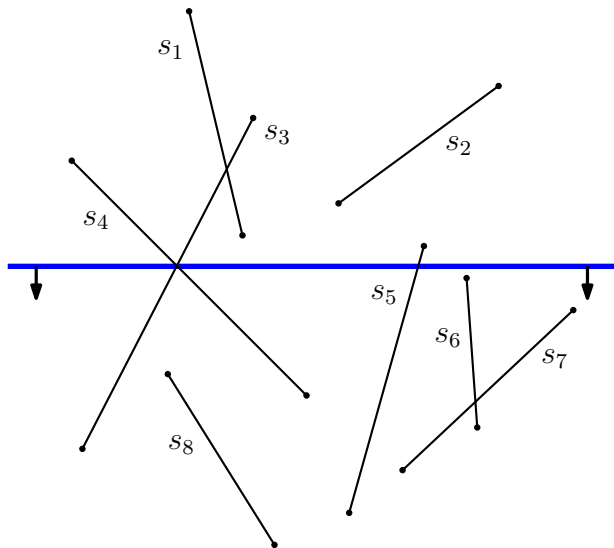


report intersection  
( $s_1, s_2$ ); swap  $s_1$   
and  $s_3$









report intersection  
( $s_3, s_4$ ); swap  $s_3$   
and  $s_4$



... and so on ...

# The events

When do the events happen? When the sweep line is

- at an upper endpoint of a line segment
- at a lower endpoint of a line segment
- at an intersection point of a line segment

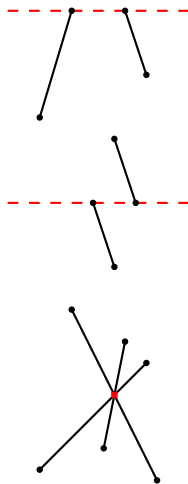
At each type, the **status** changes; at the third type **output** is found too

# Assume no degenerate cases

We will at first exclude degenerate cases:

- No two endpoints have the same y-coordinate
- No more than two line segments intersect in a point
- ...

**Question:** Are there more degenerate cases?

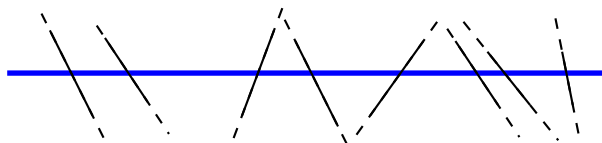


## Event list and status structure

The **event list** is an abstract data structure that stores all events in the order in which they occur

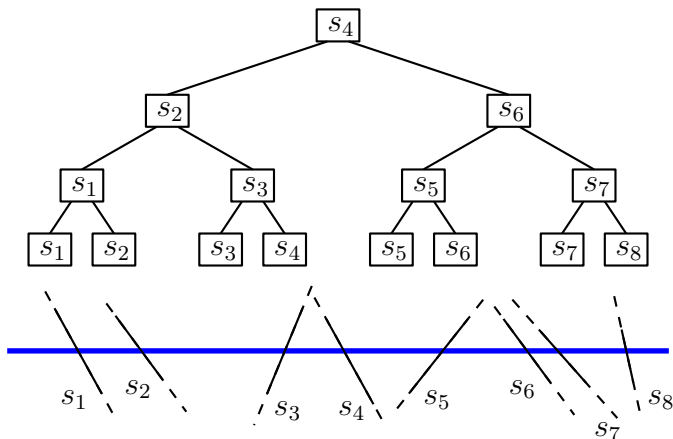
The **status structure** is an abstract data structure that maintains the current status

*Here:* The status is the subset of currently intersected line segments in the order of intersection by the sweep line

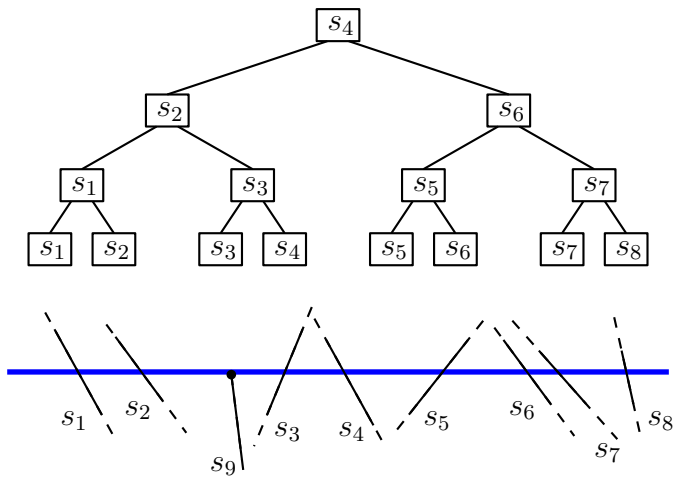


# Status structure

We use a balanced binary search tree with the line segments in the leaves as the **status structure**

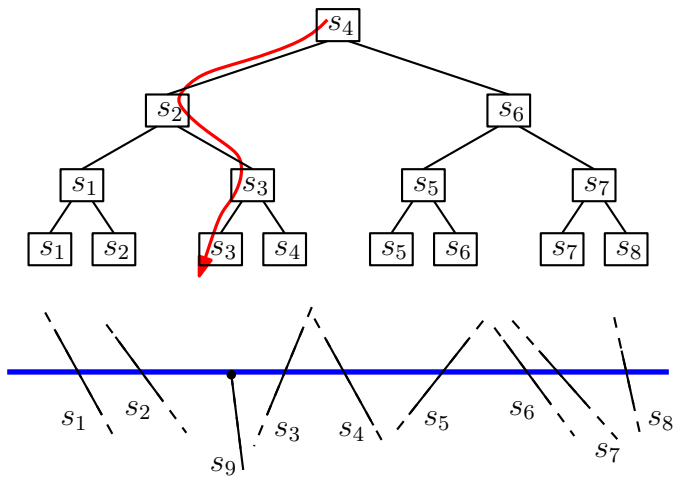


# Status structure



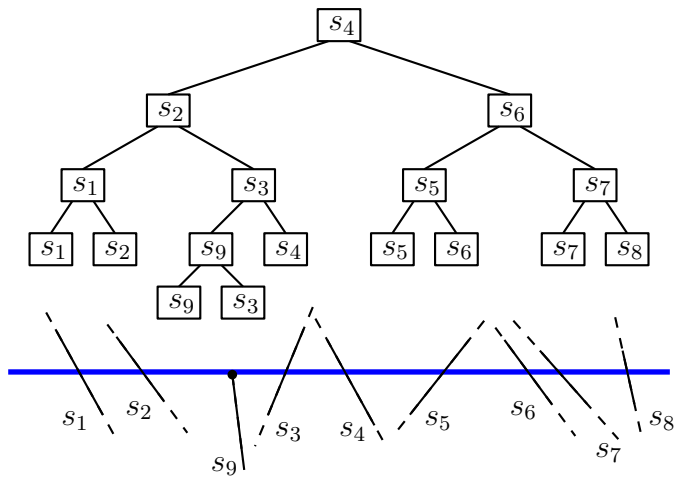
Upper endpoint: search, and insert

# Status structure



Upper endpoint: search, and insert

# Status structure



Upper endpoint: search, and insert



# Status structure

Sweep line reaches lower endpoint of a line segment: delete from the status structure

Sweep line reaches intersection point: swap two leaves in the status structure (and update information on the search paths)

# Finding events

Before the sweep algorithm starts, we know all **upper endpoint events** and all **lower endpoint events**

But: How do we know **intersection point events**???  
(those we were trying to find ...)

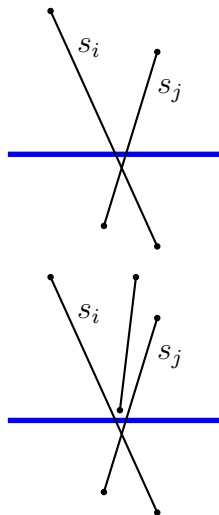
Recall: Two line segments can only intersect if they are horizontal neighbors

# Finding events

**Lemma:** Two line segments  $s_i$  and  $s_j$  can only intersect after (= below) they have become horizontal neighbors

**Proof:** Just imagine that the sweep line is ever so slightly above the intersection point of  $s_i$  and  $s_j$ , but below any other event  $\square$

Also: some earlier (= higher) event made  $s_i$  and  $s_j$  horizontally adjacent!!!



# Event list

The **event list** must be a balanced binary search tree, because during the sweep, we discover **new events** that will happen later

We know upper endpoint events and lower endpoint events beforehand; we find intersection point events when the involved line segments become horizontal neighbors

# Structure of sweep algorithm

## **Algorithm** FINDINTERSECTIONS( $S$ )

*Input.* A set  $S$  of line segments in the plane.

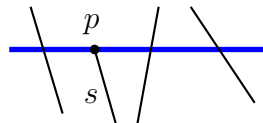
*Output.* The intersection points of the segments in  $S$ , with for each intersection point the segments that contain it.

1. Initialize an empty event queue  $Q$ . Next, insert the segment endpoints into  $Q$ ; when an upper endpoint is inserted, the corresponding segment should be stored with it
2. Initialize an empty status structure  $T$
3. **while**  $Q$  is not empty
4.     **do** Determine next event point  $p$  in  $Q$  and delete it
5.     HANDLEEVENTPOINT( $p$ )

# Event handling

If the event is an **upper endpoint** event, and  $s$  is the line segment that starts at  $p$ :

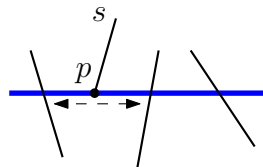
- 1 Search with  $p$  in  $T$ , and insert  $s$
- 2 If  $s$  intersects its left neighbor in  $T$ , then determine the intersection point and insert it  $Q$
- 3 If  $s$  intersects its right neighbor in  $T$ , then determine the intersection point and insert it  $Q$



# Event handling

If the event is a **lower endpoint** event, and  $s$  is the line segment that ends at  $p$ :

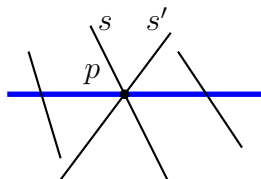
- 1 Search with  $p$  in  $T$ , and delete  $s$
- 2 Let  $s_l$  and  $s_r$  be the left and right neighbors of  $s$  in  $T$  (before deletion). If they intersect *below the sweep line*, then insert their intersection point as an event in  $Q$



# Event handling

If the event is an **intersection point** event where  $s$  and  $s'$  intersect at  $p$ :

- 1 ...
- 2 ...
- 3 ...
- 4 ...

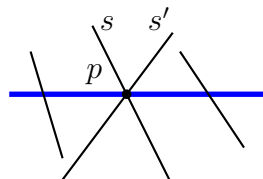




# Event handling

If the event is an **intersection point** event where  $s$  and  $s'$  intersect at  $p$ :

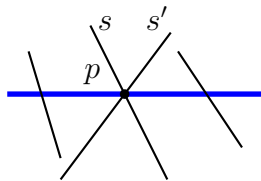
- 1 Exchange  $s$  and  $s'$  in  $T$
- 2 ...
- 3 ...
- 4 ...



# Event handling

If the event is an **intersection point** event where  $s$  and  $s'$  intersect at  $p$ :

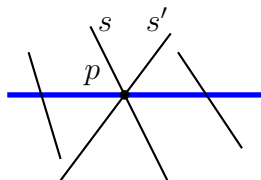
- 1 Exchange  $s$  and  $s'$  in  $T$
- 2 If  $s'$  and its new left neighbor in  $T$  intersect below the sweep line, then insert this intersection point in  $Q$
- 3 ...
- 4 ...



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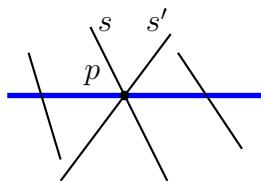
- 1 Exchange  $s$  and  $s'$  in  $T$
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- 3 If  $s$  and its new right neighbor in  $T$  intersect below the sweep line, then insert this intersection point in  $Q$
- 4 ...



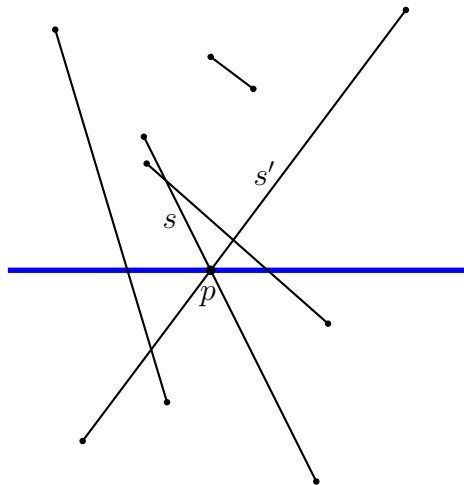
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- 2 If  $s'$  and its new left neighbor in  $T$  intersect below the sweep line, then insert this intersection point in  $Q$
- 3 If  $s$  and its new right neighbor in  $T$  intersect below the sweep line, then insert this intersection point in  $Q$
- 4 Report the intersection point



# Event handling



Can it be that new horizontal neighbors already intersected above the sweep line?

Can it be that we insert a newly detected intersection point event, but it already occurs in  $Q$ ?

# Efficiency

How much time to handle an event?

At most one search in  $T$  and/or one insertion, deletion, or swap

At most twice finding a neighbor in  $T$

At most one deletion from and two insertions in  $Q$

Since  $T$  and  $Q$  are balanced binary search trees, handling an event takes only  $O(\log n)$  time

# Efficiency

How many events?

- $2n$  for the upper and lower endpoints
- $k$  for the intersection points, if there are  $k$  of them

In total:  $O(n + k)$  events

# Efficiency

Initialization takes  $O(n \log n)$  time (to put all upper and lower endpoint events in  $Q$ )

Each of the  $O(n + k)$  events takes  $O(\log n)$  time

The algorithm takes  $O(n \log n + k \log n)$  time

If  $k = O(n)$ , then this is  $O(n \log n)$

Note that if  $k$  is really large, the brute force  $O(n^2)$  time algorithm is more efficient



# Efficiency

**Question:** How much storage does the algorithm take?

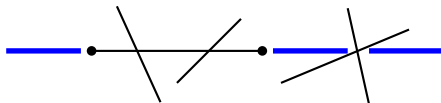
# Efficiency

**Question:** Given that the event list is a binary tree that may store  $O(k) = O(n^2)$  events, is the efficiency in jeopardy?

## Degenerate cases

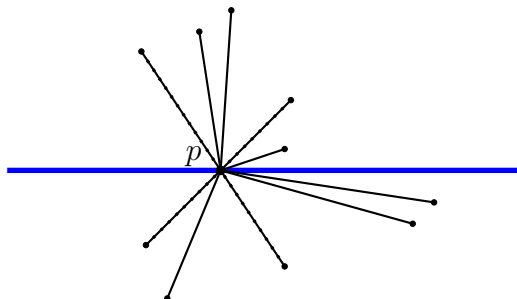
How do we deal with degenerate cases?

For two different events with the same y-coordinate, we treat them from left to right  $\Rightarrow$  the “upper” endpoint of a horizontal line segment is its left endpoint



## Degenerate cases

How about multiply coinciding event points?



Let  $U(p)$  and  $L(p)$  be the line segments that have  $p$  as upper and lower endpoint, and  $C(p)$  the ones that contain  $p$

**Question:** How do we handle this multi-event?

# Conclusion

For every sweep algorithm:

- Define the status
- Choose the status structure and the event list
- Figure out how events must be handled (with sketches!)
- To analyze, determine the number of events and how much time they take

Then deal with degeneracies