



## Algorithm Design Methods

- Greedy method.
- Divide and conquer.
- Dynamic Programming.
- Backtracking.
- Branch and bound.

## Some Methods Not Covered

- Linear Programming.
- Integer Programming.
- Simulated Annealing.
- Neural Networks.
- Genetic Algorithms.
- Tabu Search.

## Optimization Problem

A problem in which some function (called the **optimization** or **objective function**) is to be optimized (usually minimized or maximized) subject to some **constraints**.

## Machine Scheduling

Find a schedule that minimizes the finish time.

- optimization function ... **finish time**
- constraints
  - each job is scheduled continuously on a single machine for an amount of time equal to its processing requirement
  - no machine processes more than one job at a time

## Bin Packing

Pack items into bins using the fewest number of bins.

- optimization function ... number of bins
- constraints
  - each item is packed into a single bin
  - the capacity of no bin is exceeded

## Min Cost Spanning Tree

Find a spanning tree that has minimum cost.

- optimization function ... sum of edge costs
- constraints
  - must select  $n-1$  edges of the given  $n$  vertex graph
  - the selected edges must form a tree

## Feasible And Optimal Solutions

A **feasible solution** is a solution that satisfies the constraints.

An **optimal solution** is a feasible solution that optimizes the objective/optimization function.

## Greedy Method

- Solve problem by making a sequence of decisions.
- Decisions are made one by one in some order.
- Each decision is made using a greedy criterion.
- A decision, once made, is (usually) not changed later.

## Machine Scheduling

### LPT Scheduling.

- Schedule jobs one by one and in decreasing order of processing time.
- Each job is scheduled on the machine on which it finishes earliest.
- Scheduling decisions are made serially using a greedy criterion (minimize finish time of this job).
- LPT scheduling is an application of the greedy method.

## LPT Schedule

- LPT rule does not guarantee minimum finish time schedules.
- $(\text{LPT Finish Time})/(\text{Minimum Finish Time}) \leq 4/3 - 1/(3m)$  where  $m$  is number of machines
- Minimum finish time scheduling is NP-hard.
- In this case, the greedy method does not work.
- The greedy method does, however, give us a good heuristic for machine scheduling.

## Container Loading



- Ship has capacity  $c$ .
- $m$  containers are available for loading.
- Weight of container  $i$  is  $w_i$ .
- Each weight is a positive number.
- Sum of container weights  $> c$ .
- Load as many containers as is possible without sinking the ship.

## Greedy Solution



- Load containers in increasing order of weight until we get to a container that doesn't fit.
- Does this greedy algorithm always load the maximum number of containers?
- Yes. May be proved using a proof by induction (see text).

## Container Loading With 2 Ships



Can all containers be loaded into 2 ships whose capacity is  $c$  (each)?

- Same as bin packing with 2 bins.
  - Are 2 bins sufficient for all items?
- Same as machine scheduling with 2 machines.
  - Can all jobs be completed by 2 machines in  $c$  time units?
- NP-hard.

## 0/1 Knapsack Problem



## 0/1 Knapsack Problem



- Hiker wishes to take  $n$  items on a trip.
- The weight of item  $i$  is  $w_i$ .
- The items are to be carried in a knapsack whose weight capacity is  $c$ .
- When sum of item weights  $\leq c$ , all  $n$  items can be carried in the knapsack.
- When sum of item weights  $> c$ , some items must be left behind.
- Which items should be taken/left?

## 0/1 Knapsack Problem



- Hiker assigns a profit/value  $p_i$  to item  $i$ .
- All weights and profits are positive numbers.
- Hiker wants to select a subset of the  $n$  items to take.
  - The weight of the subset should not exceed the capacity of the knapsack. (constraint)
  - Cannot select a fraction of an item. (constraint)
  - The profit/value of the subset is the sum of the profits of the selected items. (optimization function)
  - The profit/value of the selected subset should be maximum. (optimization criterion)

## 0/1 Knapsack Problem



Let  $x_i = 1$  when item  $i$  is selected and let  $x_i = 0$  when item  $i$  is not selected.

$$\text{maximize } \sum_{i=1}^n p_i x_i$$

$$\text{subject to } \sum_{i=1}^n w_i x_i \leq c$$

and  $x_i = 0$  or  $1$  for all  $i$

## Greedy Attempt 1

Be greedy on capacity utilization.

- Select items in increasing order of weight.

$$n = 2, c = 7$$

$$w = [3, 6]$$

$$p = [2, 10]$$

only item 1 is selected

profit (value) of selection is 2

not best selection!

## Greedy Attempt 2

Be greedy on profit earned.

- Select items in decreasing order of profit.

$$n = 3, c = 7$$

$$w = [7, 3, 2]$$

$$p = [10, 8, 6]$$

only item 1 is selected

profit (value) of selection is 10

not best selection!

## Greedy Attempt 3

Be greedy on profit density ( $p/w$ ).

- Select items in decreasing order of profit density.

$$n = 2, c = 7$$

$$w = [1, 7]$$

$$p = [10, 20]$$

only item 1 is selected

profit (value) of selection is 10

not best selection!

### Greedy Attempt 3

Be greedy on profit density ( $p/w$ ).

- Works when selecting a fraction of an item is permitted
- Select items in decreasing order of profit density, if next item doesn't fit take a fraction so as to fill knapsack.

$n = 2, c = 7$

$w = [1, 7]$

$p = [10, 20]$

item 1 and  $6/7$  of item 2 are selected

### 0/1 Knapsack Greedy Heuristics

- Select a subset with  $\leq k$  items.
- If the weight of this subset is  $> c$ , discard the subset.
- If the subset weight is  $\leq c$ , fill as much of the remaining capacity as possible by being greedy on profit density.
- Try all subsets with  $\leq k$  items and select the one that yields maximum profit.

### 0/1 Knapsack Greedy Heuristics

- $(\text{best value} - \text{greedy value}) / (\text{best value}) \leq 1/(k+1)$

$k$	0%	1%	5%	10%	25%
0	239	390	528	583	600
1	360	527	598	600	
2	483	581	600		

Number of solutions (out of 600) within x% of best.

### 0/1 Knapsack Greedy Heuristics

- First sort into decreasing order of profit density.
- There are  $O(n^k)$  subsets with at most  $k$  items.
- Trying a subset takes  $O(n)$  time.
- Total time is  $O(n^{k+1})$  when  $k > 0$ .
- $(\text{best value} - \text{greedy value}) / (\text{best value}) \leq 1/(k+1)$