



# 1 A Note on Optical Network with Nonsplitting Nodes

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9 *Received ; Revised ; Accepted*

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10 **Abstract.** We study the problem of computing the minimum total weight multicast route in an optical network  
 11 with both nonsplitting and splitting nodes, and present a simple approximation with performance ratio 3, which is  
 12 better than existing one in the literature.

13 **Keywords:**

Au: Pls.  
provide  
Keywords.

## 14 1. Introduction

15 All-optical networks can provide high bandwidth and low end-to-end delay because the  
 16 wavelength-routing eliminates the electronic bottleneck. Due to the requirement of the  
 17 many applications running over optical networks, it is desirable that optical network layer  
 18 provides multicast capability. General multicast problem is that given a network topology,  
 19 source of the multicast session, multicast members, finds a multicast route that spans all the  
 20 members.

21 Often, an optical network is formulated as a graph with switches as nodes. There are  
 22 actually two types of switches, nonsplitting and splitting. Corresponding nodes are said  
 23 to be *nonsplitting* and *splitting*, respectively. A nonsplitting switch cannot split an input  
 24 signal into several outputs. Therefore, in a multicast route, a signal may pass a nonsplitting  
 25 node several times (figure 1), but cannot be split. If all nodes are nonsplitting, a multicast  
 26 route becomes a path and a broadcast route becomes a Hamiltonian path. It is well-known  
 27 that the minimum weight Hamiltonian path has a 1.5-approximation Garey and Jonsson  
 28 (1979).

29 If there is no nonsplitting node, then the minimum total weight multicast route is the mini-  
 30 mum Steiner tree. The current best known polynomial-time approximation has performance  
 31 ratio 1.55 (Robine and Zelikovsky, 2000).

32 The interesting problem is that when both nonsplitting and splitting nodes exist, how to  
 33 construct a good approximation. This problem is called the *minimum total weight multicast*  
 34 *route in optical networks with nonsplitting nodes* (MWM-T-ONNN). Clearly, the minimum

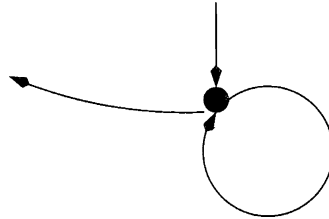


Figure 1. A nonsplitting node.

Steiner tree and minimum Hamiltonian path are special cases of this problem. Note that the minimum Hamiltonian path is usually studied through the traveling salesman problem. Therefore, the approximation for this problem should be constructed with techniques from studies in Steiner trees and traveling salesman problem.

The approximation given by Yan et al. (2003) consists of two steps. In the first step, a Steiner tree  $T$  is constructed to interconnect the source node and all multicast members under assumption that all nodes are splitting. In the second step, construct a tour starting from the source node along the Steiner tree to reach all multicast members in the depth-first-search rule. Note that the Steiner tree  $T$  constructed in the first step can be bounded by  $1.55 \text{ opt}$  where  $\text{opt}$  is the total weight of the minimum multicast route in the given optical network. Moreover, the tour constructed in the second step has total weight at most twice of the total weight of  $T$  and hence can be bounded by  $3.1 \text{ opt}$ .

In this note, we will present a simpler approximation with performance ratio 3, which is a little better. We also discuss the possibility of further improvement.

## 2. Main result

Let us consider the following construction:

*Step 1.* Construct a weighted complete graph  $G$  with the source node and all multicast members as nodes and the weight of edge  $(u, v)$  equals the total weight of the shortest path between  $u$  and  $v$  in the original optical network.

*Step 2.* Construct a traveling salesman tour  $Q$  in  $G$  with Christofides approximation (Christofides, 1976). This approximation consists of construction of a minimum spanning tree  $T$  and construction of a minimum perfect matching for all nodes with odd degree in  $T$ .

*Step 3.* Along tour  $Q$ , travel from the source node to all multicast members and turn this path in  $G$  to a path in the original optical network.

**Theorem 1.** *The above approximation is within a factor of 3 from optimal.*

**Proof:** It is well known that the Christofides approximation gives a tour within a factor of 1.5 from the optimal traveling salesman tour in graph  $G$ . We next prove that the optimal traveling salesman tour has total weight at most  $2 \text{ opt}$ . Consider a minimum total-weight

64 multicast tree  $T^*$  in the given optical network. Starting from the source node, travel along  
 65 tree  $T^*$  in the depth-first search way. Then we would obtain a tour passing through the  
 66 source node and all multicast members in the given optical network. Turn this tour into a  
 67 traveling salesman tour in graph  $G$ . The total weight of this tour is exactly  $2opt$ . Therefore,  
 68 the optimal one is bounded by  $2opt$ . Hence, the above approximation has total weight at most  
 69  $3opt$ .  $\square$

70 Note that the previously known 3.1-approximation requires to construct a 1.55-  
 71 approximation for the minimum Steiner tree. This requires to construct a  $k$ -restricted Steiner  
 72 tree with quite high running time (Robins and Zelikovsky, 2000). However, the above 3-  
 73 approximation has running time not exceed  $O(n^3)$ . Therefore, this 3-approximation has a  
 74 better performance and can be constructed faster.

Could we do better? A naive idea is as follows:

76 *Step 1.* Construct a Steiner tree  $T$  for the source node and all multicast members.

77 *Step 2.* Construct a perfect matching  $M$  for all multicast members with odd degree, if the  
 78 number of those members is even; or for the source node and all multicast members with  
 79 odd degree, otherwise.

80 *Step 3.* Find a multicast route in the union of  $T$  and  $M$ .

81 If this algorithm produces a solution, then its total weight may be within  $2.55opt$ . In fact,  
 82 the Steiner tree can be a 1.55-approximation and the matching can be within  $opt$ .

83 Unfortunately, this union sometimes does not give a multicast route. In figure 2(a), we  
 84 see a Steiner tree with three Steiner nodes, a source node  $S$  and nine multicast members.  
 85 Among them,  $A$  is a nonsplitting node. In figure 2(b), a perfect matching for odd is added.  
 86 However, from the source node  $S$ , traveling through nonsplitting node  $A$  can reach only  
 87 one branch.

88 Although this algorithm does not always work, it does produce a good approximation  
 89 solution frequently. Therefore, we may take it as a heuristic in practice.

90 It is an interesting and challenge open problem to modify this heuristic into an approxi-  
 91 mation with performance ratio smaller than three.

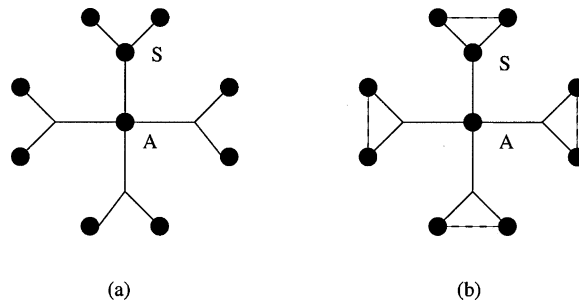


Figure 2. A counterexample.

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