Recent advances in Complexity CIS 6930/CIS 4930

October 15

## Lecture 15

Lecturer: Dr. Meera Sitharam Scribe: Erwin Jansen

## 1 Introduction

In today's lecture we started on proving circuit depth lower bounds (regardless of size) for st-connectivity. We will prove lower bounds for monotone circuit depth. Note that stcon is a monotone function (why?) The proof for a lower bound for this function will be done according to the following ideas:

Idea 1: Give a communication complexity lower bound. Recall from previous lectures the following definition and theorem that relates communication complexity to (monotone) circuit depth of functions:

**Definition 1** For a boolean function  $f: \{0,1\}^n \to \{0,1\}$ , let  $X = f^{-1}(1)$  (i.e. the set of all x's such that f(x) = 1) and  $Y = f^{-1}(0)$ . Let  $R_f \subseteq X \times Y \times \{1,...,n\}$  consist of all triples (x,y,i) such that  $x_i \neq y_i$ . Let  $M_f \subseteq X \times Y \times \{1,...,n\}$  consist of all triples (x,y,i) such that  $x_i = 1$  and  $y_i = 0$ . I.e,  $x_i > y_i$ . Note that this is analogous to  $R_f$  (and makes sense) for monotone functions f.

**Theorem 2** For every  $f: \{0,1\}^n \to \{0,1\}$ , we have  $d(f) = D(R_f)$ . And  $d_m(f) = D(M_f)$ . Here d(f) is the min depth of a circuit computing f, and Here  $d_m(f)$  is the min depth of a monotone circuit computing f. The latter result says that the communication complexity of a relation of the form of  $M_f$  (as opposed to  $R_f$ ) is only useful for lower bounding bounding the monotone circuit depth (as opposed to general circuit depth) of f.

Idea 2: We want to prove a lower bound for st-connectivity, the function that gives a 1 if there is a path between s and t, by proving a lower bound for  $R_{fork}$  and by giving a reduction from the protocol for st-connectivity  $(M_{stcon})$  to the protocol for fork  $(R_{fork})$  that does not *increase* the depth. In other words:

$$R_{fork} \leq_{\triangle} M_{stcon}$$

**Idea 3:** Show the lower bound for  $R_{fork}$ .

Due to theorem 2 we don't need to explicitly define the function fork. As long as we are able to define the set  $R_{fork}$  we can proceed. We will define the set  $R_{fork}$  as follows:

 $R_{fork}$ 

**Definition 3** Let  $j, w, l, i \in \mathbb{N}$  and  $x, y \in \{1, ..., w\}^l$ . We define the set  $R_{fork}$  as follows:

$$R_{fork} = \{(x, y, i) | x_i = y_i \land x_{i+1} \neq y_{i+1} \}$$

There is one drawback with the this definition however. Not every pair x, y is in  $R_{fork}$ :

**Example 1** For w=3, let x=223 and y=121 and i=2, then  $(x,y,i)\in R_{fork}$ . However for given x=222 and y=222 there is no i such that  $(x,y,i)\in R_{fork}$ 

It is rather inconvenient that not every x, y is in  $R_{fork}$ , so we extend the definition a little bit by adding some extra information to x and y. We are going to place a digit in front and to the back of x and y. We obtain the following definition:

 $R_{fork}$ 

**Definition 4** Let  $\cdot$  denote string concatenation. Let  $j, w, l, i \in \mathbb{N}$  and  $x = 1 \cdot \{1, ..., w\}^l \cdot w$  and  $y = 1 \cdot \{1, ..., w\}^l \cdot (w - 1)$ . Now we define  $R_{fork}$  as follows:

$$R_{fork} = \{(x, y, i) | x_i = y_i \land x_{i+1} \neq y_{i+1} \}$$

This new definition has the advantage that for every pair x and y, there is some i such that (x, y, i) is an element of the set  $R_{fork}$ :

Claim 5

$$\forall_{x,y} \exists_i \langle (x,y,i) \in R_{fork} \rangle$$

**Proof:** Trivial, just find the first place where they differ. Convince yourself that such a place exists.

The function st-connectivity gives a one when there exists a path between the source s and the target t. Formally this function is defined as follows:

st-connectivity

**Definition 6** (st-connectivity) Let G = (V, E) be a digraph (directed graph) where |V| = n. Let G have two vertices  $s, t \in V$  called the source and target. The boolean function stcon is defined as follows:

$$stcon(G) = 1 \iff \exists_{e \subset E} \langle s \stackrel{e}{\leadsto} t \rangle$$

Recall from previous lectures that we can represent a graph as a binary string (of length  $n^2 - n$ ) by indicating whether an edge exists (1) or not (0). Since we can always relabel a graph we assume that s and t are fixed vertices in the input. Notice that stcon is a monotone function. If s and t are connected, then the addition of another edge will not disconnect s and t.

## 2 Reduction

We will now examine how we can convert a communication protocol for stcon into a communication protocol for fork without increasing the depth, the amount of bits communicated, of the protocol. First let us make sure we formally understand the definition of  $M_{stcon}$ .

 $M_{stcon}$ 

**Definition 7** ( $M_{stcon}$ ) Let stcon be a boolean function for a graph of n vertices. Let  $G_1 = stcon^{-1}(1)$  and  $G_2 = stcon^{-1}(0)$ . Now  $M_{stcon}$  is defined as:

$$M_{stcon} = \{(x, y, i) \mid x \in G_1, y \in G_2, x_i \neq y_i\}$$

Since the input of the function stcon is a string of bits indicating whether or not there exists an edge in the graph G, an element  $(x, y, i) \in M_{stcon}$  indicates that there does not exists an edge in the graph x where there is an edge in the graph y. A protocol for  $M_{stcon}$  is actually giving this edge as an output.

We will look at a subset  $M'_{stcon} \subseteq X' \times Y \times \{1, ..., n^2 - n\}$ . The reason for looking at a subset is that it is easier for us and it is sufficient due to the following condition:

$$R_{fork} \leq_{\triangle} M'_{stcon} \leq_{\triangle} M_{stcon}$$

We will show the first reduction, whereas the latter one is trivial. For the first reduction we have to show that we can convert the input to from  $R_{fork}$  to an input of  $M'_{stcon}$  and are able to convert the output of  $M'_{stcon}$  back to  $R_{fork}$ , as depicted in figure 2.

The conversion of the input will be two graphs. One graph  $G_1$  used by Alice and one graph  $G_2$  used by Bob. The graph that Alice and Bob will use is a layered graph as depicted in figure 1. The graph will be of size n. We have  $l+2=\sqrt{n}$  layers of  $w=\sqrt{n}$  vertices.

**Definition 8 (Converting the input)** Here is how we convert an input from  $R_{fork}$  to an input of  $M'_{stcon}$ . Take the string  $x \in 1 \cdot \{1, ..., w\}^l \cdot w$ , interpreted by Alice as a graph consisting of a single path from 1 to w. The string  $y \in 1 \cdot \{1, ..., w\}^l \cdot (w-1)$  will be interpreted by Bob as a graph in  $G_2$  which contains the path given by y. In addition to this, Bob is going to throw in all other edges between adjacent layers, except those who originate in one of the vertices on the

The graphs that Bob and Alice use might remind you of the positive and negative test graphs of some lectures ago. Alice is using a minimal graph for which *stcon* returns true whereas Bob is using a maximal graph for which *stcon* returns false. Notice that in figure 1 we did *not* draw all the edges for Bob.

path.

Claim 9 Let the output of the protocol  $M_{stcon}$  be the edge (u,v) that is in G1 and not in G2. Let u be in the  $i^{th}$  layer of  $G_1$ . (By definition of layered graphs, v is in  $(i+1)^{st}$  layer). Now i is a correct output for a protocol for  $R_f$  or i input x, y, i.e.  $R_{fork}(x, y, i) = 1$ 

**Proof:** Since  $(u, v) \in G_1$ , it follows that  $u = x_i$  and  $v = y_i$  by construction of  $G_1$ . We now have to show that  $(u, v) \notin G_2$ . By construction of  $G_2$ , the edges in  $G_2$  go from everything other than  $y_i$  in  $i^{th}$  layer to everything in the  $(i+1)^{th}$  layer, and the single edge from  $y_i$  to  $y_{i+1}$ . So, the edges not in  $G_2$  are exactly those that go from  $y_i$  in  $i^{th}$  layer to everything other than  $y_{i+1}$  in the  $(i+1)^{th}$  layer. Therefore  $u = y_i$  and  $v \neq y_{i+1}$ . Therefore i is such that  $x_i = y_i$ , but  $x_{i+1} \neq y_{i+1}$ . Hence i is a correct output for a protocol for  $R_{fork}$  on input x,y.

Conversion

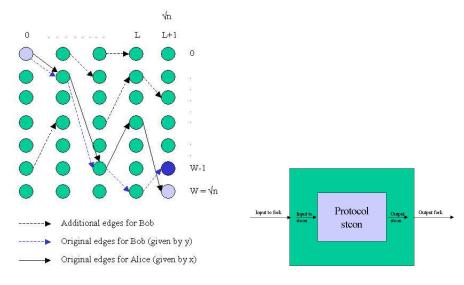


Figure 1: Layered Graph

Figure 2: Reduction