

## Lecture 27

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## 1 Proofs with *LOGSPACE* Statistical Tests

In the last lecture we briefly introduced the classification of *LOGSPACE* statistical tests given by Sivakumar (2001). We now look at some examples.

### 1.1 Chernoff-Hoeffding Lemma/Bound

**Problem 1** Given  $m$  sets  $A_1, A_2, \dots, A_m \subseteq \{1, \dots, n\}$ , the goal is to compute a set  $Q$  such that  $\max_i \Delta_i = ||A_i \cap Q| - |A_i \setminus Q||$  is minimized.

A randomized algorithm that obtains a  $Q$  that is a good approximation to the desired  $Q$  is as follows: It picks each element of  $\{1, 2, \dots, n\}$  with probability  $1/2$  to get  $Q$ .

The proof of correctness (that uses the C-H bound) shows that when  $Q$  is picked according to this rule, then for some  $C > 0$ ,  $\forall i P[\Delta_i > C \sqrt{|A_i| \log m}] < 1/(2m)$ . This means that the probability that the algorithm fails to give a good approximation is bounded above by  $1/(2m)$ . In other words there is an  $n$  bit string whose randomness is tested by some statistical test during this proof. The statistical test can be thought of as an algorithm that either accepts or rejects a string depending on the outcome of the test. The proof that the random string passes this test is the C-H bound. Next we describe the statistical test.

Think of the statistical test as a Turing Machine with the following tapes:

1. Input Tape: It holds  $n$  random bits  $q_1, q_2, \dots, q_n$ .  $q_i = 1$  iff  $i \in Q$ .
2. Active tape: Has sets  $A_1, \dots, A_m$  and the values  $C^2 |A| \log m$ . Note that the contents of the tape are independent of the input itself and depend only on the input length.
3. Index tape: Contain  $i \in \{1, \dots, m\}$ .
4. Work tape: Integer variables  $j, a, b$  that will take values in the interval  $(0, \dots, n)$ .  $a$  will count  $|A_i \cap Q|$  and  $b$  will count  $|A_i \setminus Q|$ .

The algorithm is as follows:

Initialize  $a$  and  $b$  to zero.

```
for  $j = 1$  to  $n$  do
  if  $j \in A_i$  then
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    if  $q_j = 1$ 
      then  $a = a + b$ 
      else  $b = b + 1$ 
    end if
  end if
end for
if  $(a - b)^2 \leq C^2 |A_i| \log m$ 
  then accept
  else reject

```

The statistical test we described is a *LOGSPACE* computation. It is this test that a random string has to pass in order for the C-H bound to go through. Now that we have a *LOGSPACE* statistical test we need to give a pseudorandom generator that fools this test (and in fact any *LOGSPACE* test).

**Theorem 1**  $\exists$  constants  $A > 0, B > 0$ , such that  $\forall \epsilon > 0$  given a *LOGSPACE* machine/algorithm/statistical test  $M$  and  $t \geq A(\log n^{O(1)} + \log(1/\epsilon))$  it is possible to construct a sample space (set of pseudorandom strings + distribution)  $S \subseteq \{0, 1\}^{tn}$  such that  $|S| = (n/\epsilon)^{O(1)}$  so that for any value  $I$  on  $M$ 's index tapes,  $|P_{r \in \{0, 1\}^{tn}}(M(I) \text{ accepts } r) - P_{r \in S}(M(I) \text{ accepts } r)| < \epsilon$ .

The C-H bound proves that when  $q \in \{0, 1\}^n$  is chosen uniformly at random  $\forall i$ , then  $D(i)$  rejects with probability at most  $1/(2m)$ . Applying the pseudorandom construction we get sample space  $(n/\epsilon)^C = (n + m)^{O(1)}$  (taking  $\epsilon = 1/(2m)$ ), for some fixed constant  $C$ . If  $q$  is chosen according to  $S$  then  $\forall i$   $D(i)$  rejects with probability  $< (1/(2m) + \epsilon) = 1/m$ . Thus probability  $D(i)$  rejects for some  $i$  is  $< \Sigma(1/m) < 1$ . The probability that  $D(i)$  accepts for all  $i$  is strictly greater than zero. This means that  $\exists$  at least 1 pseudorandom string  $q \in S$  such that  $D(i)$  accepts for every  $i$ .

## 1.2 Johnson-Lindenstrauss Lemma

This J-L lemma is frequently applied to problems in combinatorial geometry. Typically problems get exponentially complex with increase in dimensions, i.e., complexity is  $O(n^d)$ . So we would like to try to reduce a problem instance into one with fewer dimensions while preserving the geometric invariant. The following problem serves as an example.

**Problem 2** Given  $n$  points  $u_1, \dots, u_n \in \mathbb{R}^d$  (where  $d$  is large) we want  $\Phi : \mathbb{R}^d \rightarrow \mathbb{R}^k$  ( $k \ll d$ ) such that for each  $i, j, 1 \leq i < j \leq n$ ,  $(1 - \epsilon)\|u_i - u_j\|^2 \leq \|\Phi(u_i) - \Phi(u_j)\|^2$

One proposed solution is to project the given vectors into a random  $k$ -dimensional subspace and it can be shown that with high probability the test is passed. However, it is hard to show that the test  $\in$  *LOGSPACE*. So we need to convert to an equivalent lemma whose statistical test  $\in$  *LOGSPACE*. Such a conversion is given in Achlioptus (2001).  $\Phi$  is constructed by taking a  $k \times d$  matrix (call it  $A_\Phi$ ) such that the rows of the matrix are the basis of the  $k$ -dimensional subspace desired and whose entries  $\in \{-1, +1\}$ . Now we have  $\Phi(u_i) = A_\Phi u_i$ . The statistical test takes this random matrix entries as input (with  $u_i$ s on the advice tape) and tests the statement. In this case we have a *LOGSPACE* test.

## 2 Physics, Information and Computation

In the remainder of this lecture and the next lecture we will study the relationship between physics, information and computation. We begin by giving an introduction to Kolmogorov complexity.

### 2.1 Kolmogorov Complexity

Suppose that we want to measure the amount of information contained in a finite binary string. Consider, for example, the string  $111\dots 1$ , where the number of 1s is 10,000. Intuitively, this string contains little information.

**Observation:** The following program outputs the string:

```
for  $i = 1$  to 10,000  
print 1
```

Likewise, consider the number  $\pi = 3.1415\dots$ , which is an infinite sequence of digits. There is also a short program that computes the consecutive digits of  $\pi$ . These observations motivate the following definition.

**Definition 1** *The amount of information in a finite string is the size of the shortest program that without additional data, computes the string and terminates.*

It appears that the amount of information in a string depends on the programming language used. However it has been proved that all reasonable choices of programming languages lead to the quantification of information that is invariant up to an additive constant. This quantity is called the *Kolmogorov Complexity* of the string. Some strings such as those in the examples above have shorter descriptions than themselves and are said to be *compressible*.

### 2.2 Information Theory

A parallel development was the study of information theory and coding by Shannon, which we briefly review.

Suppose we want to assign a quantity of information to an ensemble of possible messages. All messages in the ensemble being equally probable, this quantity is the number of bits needed to count all possibilities. Note that in this case we ignore the meaning of the message itself. Rather the interest lies in communicating a message between a sender and a receiver. Further we assume that the set of all possible messages is known to both the sender and the receiver. The set of messages from which the selection takes place is called an *ensemble*. We will only consider countable ensembles.

**Definition 2** *The entropy of a random variable  $X$  with outcomes in an ensemble  $S$  and all messages in the ensemble equally likely is the quantity  $H(X) = \log d(S)$ , where  $d(S)$  is the cardinality of  $S$ .*

By choosing a particular message ' $a$ ' from  $S$ , we remove the entropy from  $X$  by the assignment  $X = a$  and produce or transmit information  $I = \log d(S)$  by our selection of  $a$ . Expressing in bits,  $[I = \log d(S)]$ .

Note that if we have  $K$  independent variables  $X_1, X_2, \dots, X_k$  each can take  $n_i$  values  $i = 1, 2, \dots, k$  the number of combinations possible is  $n_1 n_2 \dots n_k$  and the entropy (when the messages are equally likely) is  $H(X_1, X_2, \dots, X_k) =$

$$\log n_1 + \log n_2 + \dots + \log n_k = \log n.$$

What is the entropy when the messages occur with given probabilities?

**Definition 3** *The entropy of a random variable  $X$  with given probabilities  $P(X = a_i) = p_i$  is  $H(X) = -\sum p_i \log p_i$ .*

If we want to encode a sequence  $x_1 x_2 \dots x_n$ ,  $x_i \in N$ , call  $x_1 x_2 \dots x_n$  the *source sequence* and  $y_1 y_2 \dots y_n$  with  $y_i = E(x_i)$  the *code sequence*. A code is *uniquely decodable* if for each source sequence of finite length, the code sequence corresponding to that source sequence is different from the code sequence corresponding to any other source sequence. A code is a *prefix code* if the set of code words is *prefix free*, i.e., no code used is a prefix of another code word.