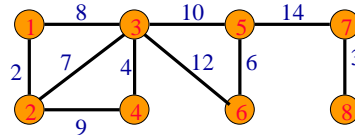


## Minimum-Cost Spanning Tree

- weighted connected undirected graph
- spanning tree
- cost of spanning tree is sum of edge costs
- find spanning tree that has minimum cost

## Example



- Network has 10 edges.
- Spanning tree has only  $n - 1 = 7$  edges.
- Need to either select 7 edges or discard 3.

## Edge Selection Greedy Strategies

- Start with an  $n$ -vertex 0-edge forest. Consider edges in ascending order of cost. Select edge if it does not form a cycle together with already selected edges.
  - Kruskal's method.
- Start with a 1-vertex tree and grow it into an  $n$ -vertex tree by repeatedly adding a vertex and an edge. When there is a choice, add a least cost edge.
  - Prim's method.

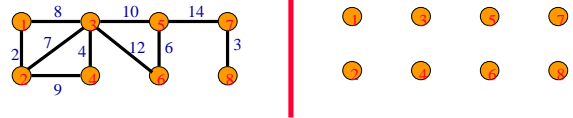
## Edge Selection Greedy Strategies

- Start with an  $n$ -vertex forest. Each component/tree selects a least cost edge to connect to another component/tree. Eliminate duplicate selections and possible cycles. Repeat until only 1 component/tree is left.
  - Sollin's method.

## Edge Rejection Greedy Strategies

- Start with the connected graph. Repeatedly find a cycle and eliminate the highest cost edge on this cycle. Stop when no cycles remain.
- Consider edges in descending order of cost. Eliminate an edge provided this leaves behind a connected graph.

## Kruskal's Method



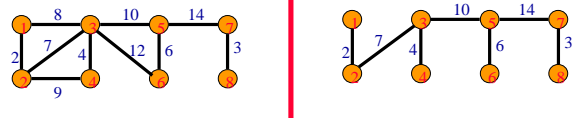
- Start with a forest that has no edges.
- Consider edges in ascending order of cost.
- Edge (1,2) is considered first and added to the forest.

## Kruskal's Method



- Edge (7,8) is considered next and added.
- Edge (3,4) is considered next and added.
- Edge (5,6) is considered next and added.
- Edge (2,3) is considered next and added.
- Edge (1,3) is considered next and rejected because it creates a cycle.

## Kruskal's Method



- Edge (2,4) is considered next and rejected because it creates a cycle.
- Edge (3,5) is considered next and added.
- Edge (3,6) is considered next and rejected.
- Edge (5,7) is considered next and added.

### Kruskal's Method



- $n - 1$  edges have been selected and no cycle formed.
- So we must have a spanning tree.
- Cost is 46.
- Min-cost spanning tree is unique when all edge costs are different.

### Prim's Method



- Start with any single vertex tree.
- Get a 2-vertex tree by adding a cheapest edge.
- Get a 3-vertex tree by adding a cheapest edge.
- Grow the tree one edge at a time until the tree has  $n - 1$  edges (and hence has all  $n$  vertices).

### Sollin's Method



- Start with a forest that has no edges.
- Each component selects a least cost edge with which to connect to another component.
- Duplicate selections are eliminated.
- Cycles are possible when the graph has some edges that have the same cost.

### Sollin's Method



- Each component that remains selects a least cost edge with which to connect to another component.
- Beware of duplicate selections and cycles.

### Greedy Minimum-Cost Spanning Tree Methods

- Can prove that all result in a minimum-cost spanning tree.
- Prim's method is fastest.
  - $O(n^2)$  using an implementation similar to that of Dijkstra's shortest-path algorithm.
  - $O(e + n \log n)$  using a Fibonacci heap.
- Kruskal's uses union-find trees to run in  $O(n + e \log e)$  time.

### Pseudocode For Kruskal's Method

Start with an empty set  $T$  of edges.

**while** ( $E$  is not empty &&  $|T| \neq n-1$ )

{

    Let  $(u,v)$  be a least-cost edge in  $E$ .

$E = E - \{(u,v)\}$ . // delete edge from  $E$

**if**  $((u,v)$  does not create a cycle in  $T$ )

        Add edge  $(u,v)$  to  $T$ .

}

**if** ( $|T| == n-1$ )  $T$  is a min-cost spanning tree.

**else** Network has no spanning tree.

### Data Structures For Kruskal's Method

Edge set  $E$ .

Operations are:

- Is  $E$  empty?
- Select and remove a least-cost edge.

Use a min heap of edges.

- Initialize.  $O(e)$  time.
- Remove and return least-cost edge.  $O(\log e)$  time.

### Data Structures For Kruskal's Method

Set of selected edges  $T$ .

Operations are:

- Does  $T$  have  $n - 1$  edges?
- Does the addition of an edge  $(u, v)$  to  $T$  result in a cycle?
- Add an edge to  $T$ .

## Data Structures For Kruskal's Method

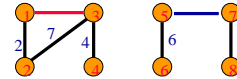
Use an array linear list for the edges of  $T$ .

- Does  $T$  have  $n - 1$  edges?
  - Check **size** of linear list.  $O(1)$  time.
- Does the addition of an edge  $(u, v)$  to  $T$  result in a cycle?
  - Not easy.
- Add an edge to  $T$ .
  - Add at right end of linear list.  $O(1)$  time.

Just use an array rather than **ArrayLinearList**.

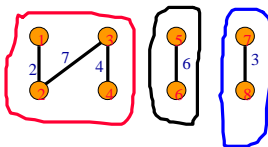
## Data Structures For Kruskal's Method

Does the addition of an edge  $(u, v)$  to  $T$  result in a cycle?



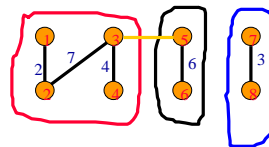
- Each component of  $T$  is a tree.
- When  $u$  and  $v$  are in the same component, the addition of the edge  $(u, v)$  creates a cycle.
- When  $u$  and  $v$  are in the different components, the addition of the edge  $(u, v)$  does not create a cycle.

## Data Structures For Kruskal's Method



- Each component of  $T$  is defined by the vertices in the component.
- Represent each component as a set of vertices.
  - $\{1, 2, 3, 4\}$ ,  $\{5, 6\}$ ,  $\{7, 8\}$
- Two vertices are in the same component iff they are in the same set of vertices.

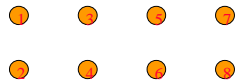
## Data Structures For Kruskal's Method



- When an edge  $(u, v)$  is added to  $T$ , the two components that have vertices  $u$  and  $v$  combine to become a single component.
- In our set representation of components, the set that has vertex  $u$  and the set that has vertex  $v$  are united.
  - $\{1, 2, 3, 4\} + \{5, 6\} \Rightarrow \{1, 2, 3, 4, 5, 6\}$

## Data Structures For Kruskal's Method

- Initially, **T** is empty.



- Initial sets are:
  - $\{1\} \{2\} \{3\} \{4\} \{5\} \{6\} \{7\} \{8\}$
- Does the addition of an edge  $(u, v)$  to **T** result in a cycle? If not, add edge to **T**.

$s1 = \text{find}(u); s2 = \text{find}(v);$

if  $(s1 \neq s2)$  union( $s1, s2$ );

## Data Structures For Kruskal's Method

- Use **FastUnionFind**.
- Initialize.
  - $O(n)$  time.
- At most  $2e$  finds and  $n-1$  unions.
  - Very close to  $O(n + e)$ .
- Min heap operations to get edges in increasing order of cost take  $O(e \log e)$ .
- Overall complexity of Kruskal's method is  $O(n + e \log e)$ .